

# Increased winter-spring precipitation from the last glaciation to the Holocene inferred from a $\delta^{13}\text{C}_{\text{org}}$ record from Yili Basin (Xinjiang, NW China)

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**Abstract** The nature and dynamics of climate change in central Asia since the late Pleistocene are controversial. Moreover, most of the published studies focus mainly on the evolution of moisture conditions, and there have been few attempts to address changes in seasonality. In this study, records of  $\delta^{13}\text{C}_{\text{org}}$ , TOC, TN, C/N and grain size were obtained from lacustrine sediments at Yili Basin, Xinjiang, NW China. Our aim was to reconstruct the trend in seasonality of precipitation from the last glaciation to the Holocene. The organic matter content of the sediments is derived predominantly from terrestrial plants. The  $\delta^{13}\text{C}_{\text{org}}$  values vary from  $-19.4\text{‰}$  to  $-24.8\text{‰}$ , indicating that the vegetation was dominated by  $\text{C}_3$  plants. Winter-spring precipitation is identified as the factor determining the relative proportions of  $\text{C}_3$  and  $\text{C}_4$  plants in the region. A negative trend in  $\delta^{13}\text{C}_{\text{org}}$  corresponding to an increase in the relative abundance of  $\text{C}_3$  plants indicate a trend of increasing winter-spring precipitation from the last glaciation to the Holocene. The increased incidence of wintertime storms in the interior of Asia is suggested to result in the increase of winter-spring precipitation in the Holocene.

**Keywords** Organic matter, Central Asia,  $\text{C}_3$  and  $\text{C}_4$  plants, Seasonality changes, Winter-spring precipitation

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## 1. Introduction

The origin and evolution of the arid environment in central Asia, which includes the largest temperate arid zone in the world, is an important scientific issue within global climate change research programs (Rea et al., 1998; Guo et al., 2002; Sun et al., 2010; Liu et al., 2014; Zheng et al., 2015). Over

the past several decades, late Pleistocene and Holocene climate changes within central Asia have been studied extensively, based on a variety of proxies and depositional contexts, including lacustrine sediments (e.g., Sun et al., 1994; Chen et al., 2008; Liu et al., 2008; Huang et al., 2009; Rudaya et al., 2009; Li et al., 2011; Rudaya and Li, 2013; Mathis et al., 2014; Zhang et al., 2016), loess deposits (e.g., Ye, 1999; Fang et al., 2002; Song et al., 2010; Rao et al., 2013; Ran and Feng, 2014), stalagmites (e.g., Cheng et al., 2012; Cai et al., 2017), and eolian dune sequences (e.g., Yang

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et al., 2002; Long et al., 2014, 2017). However, most of the published studies focus on the evolution of moisture conditions and relatively few studies have considered seasonality changes in central Asia since the late Pleistocene (Herzschuh, 2006; Yang and Ding, 2006; Kutzbach et al., 2013; Hong et al., 2014; Chen et al., 2016; Zhao et al., 2017).

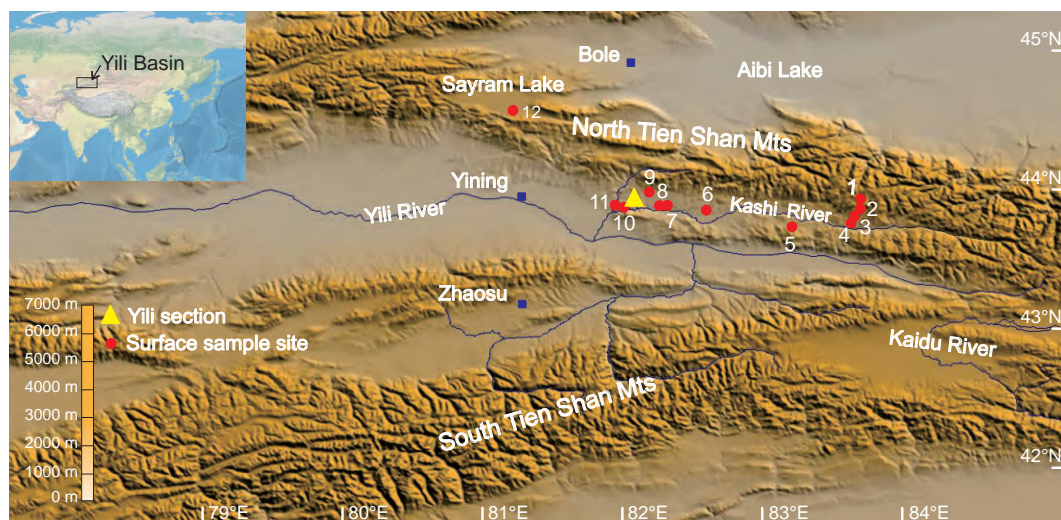
There have been many studies of seasonality changes in the Northern Hemisphere (NH), and increased seasonality has been observed from the Pliocene to the Pleistocene (Haug et al., 2005; Hennissen et al., 2015). The winter-spring precipitation is the principal control on predominance of  $C_3$  plants in central Asia over the past 1.77 Myr (Yang and Ding, 2006). Both climate models and paleoclimate records have reconstructed the moisture conditions in parts of the Mediterranean, the Middle East, and the interior of Asia, at times of maximum NH seasonality (summer perihelion-increased insolation; winter aphelion-decreased insolation) (Kutzbach et al., 2013). From 15 to 4 cal kyr BP, winter precipitation in the Mediterranean region was highest during warm intervals and lowest during cooling phases (Dormoy et al., 2009). These studies of seasonality changes provide new insights into the causes of apparent discrepancies between published paleoclimatic reconstructions based on pollen analysis, lake-levels and stable isotopes in several regions (Peyron et al., 2011).

Organic matter (OM) is a minor but important component of lacustrine sediments (Meyers and Lallier-Vergés, 1999). The carbon isotopic composition of OM ( $\delta^{13}C_{org}$ ) has been extensively used to reconstruct the source vegetation (Meyers and Ishiwatari, 1993),  $CO_2$  concentration (Meyers and Horie, 1993), paleoclimate (Gouveia et al., 2002; Mackay et al., 2012), and lake level history (Liu et al., 2013). It is generally considered that different sources of OM have different  $\delta^{13}C_{org}$  signatures (Meyers and Lallier-Vergés,

1999). There are two major sources of lake OM: terrestrial plants (e.g., trees, shrubs, grasses) and aquatic plants (e.g., phytoplankton) (Meyers, 2003).

Terrestrial higher plants use two fundamentally different pathways of  $CO_2$  fixation: the  $C_3$  (Calvin-Benson) and  $C_4$  (Hatch-Slack) cycles (Deines, 1980).  $C_3$  plants, which include nearly all tree and most shrub and herb taxa, have  $\delta^{13}C_{org}$  values from  $-32\text{‰}$  to  $-22\text{‰}$  with a mean of about  $-27\text{‰}$ ;  $C_4$  plants are mainly herbs and some shrubs, and have  $\delta^{13}C_{org}$  values from  $-19\text{‰}$  to  $-9\text{‰}$ , with an average of  $-13\text{‰}$  (Deines, 1980; O'Leary, 1981; Farquhar et al., 1989). The competitive advantage difference between  $C_3$  and  $C_4$  plants is favored by environmental differences:  $C_3$  plants prefer a cool growing season with an overall humid climate and high atmospheric  $CO_2$  concentration, while  $C_4$  plants have a competitive growth advantage under a scenario of warm-season precipitation and lower low atmospheric  $CO_2$  concentration (Deines, 1980; O'Leary, 1981, 1988; Farquhar et al., 1989; Sage et al., 1999). Therefore, the  $\delta^{13}C_{org}$  values of the organic fraction of lacustrine sediments, which is contributed mainly by terrestrial plants, should reflect the environmental response of different plants types (e.g.  $C_3$  and  $C_4$ ) and can be used to reconstruct the history of vegetation and hence paleoclimate (Giresse et al., 1994; Huang et al., 2001).

Yili Basin (also known as Ili Basin) is in the western Tien Shan Mountains, which has a wetter climate than most of central Asia (Figure 1). The modern climate is dominated by the mid-latitude westerlies with abundant lacustrine and loess sediment sequences which makes the region well suited for assessing climatic changes in central Asia. Li et al. (2011) and Zhao et al. (2013) reported a pollen record from a 12.2-m-long lacustrine sediment sequence, with robust dating control, from Yili Basin. The present study utilizes the



**Figure 1** Location of Yili Basin and the study site. The yellow triangle indicates the position of Yili section; the red circle indicates the surface sample sites for  $\delta^{13}C_{org}$  in the basin.

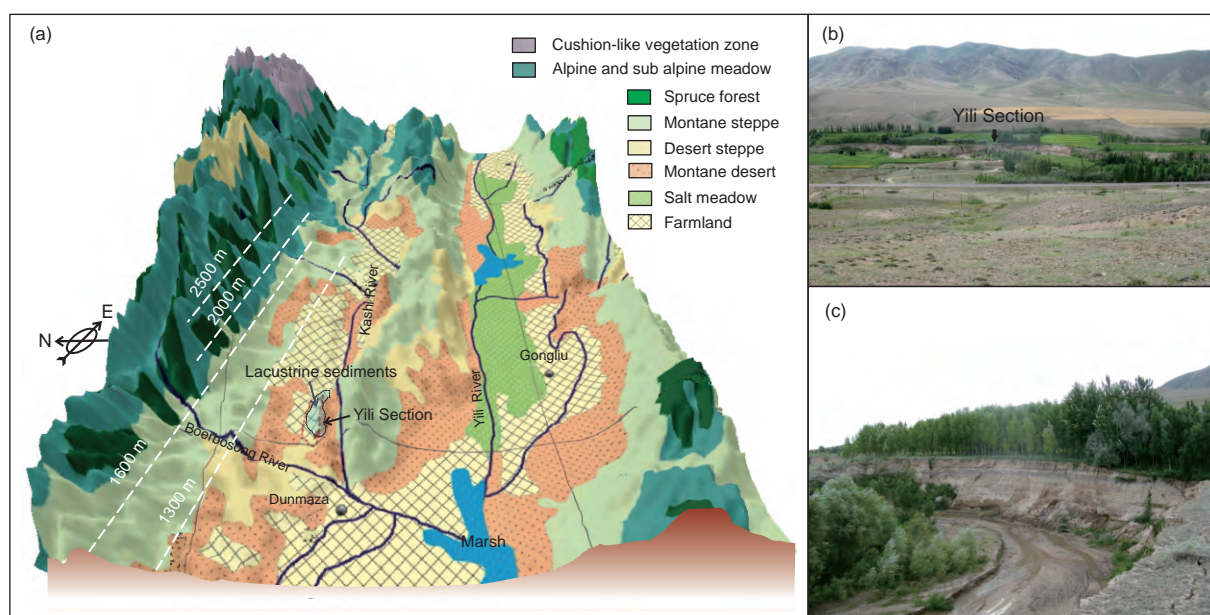
$\delta^{13}C_{org}$  records from the same sedimentary sequence with the aim of reconstructing the regional history of  $C_3/C_4$  relative abundance and to detect regional changes in seasonality precipitation (e.g. the changes of winter-spring precipitation) from the late Pleistocene to the Holocene.

## 2. Study area

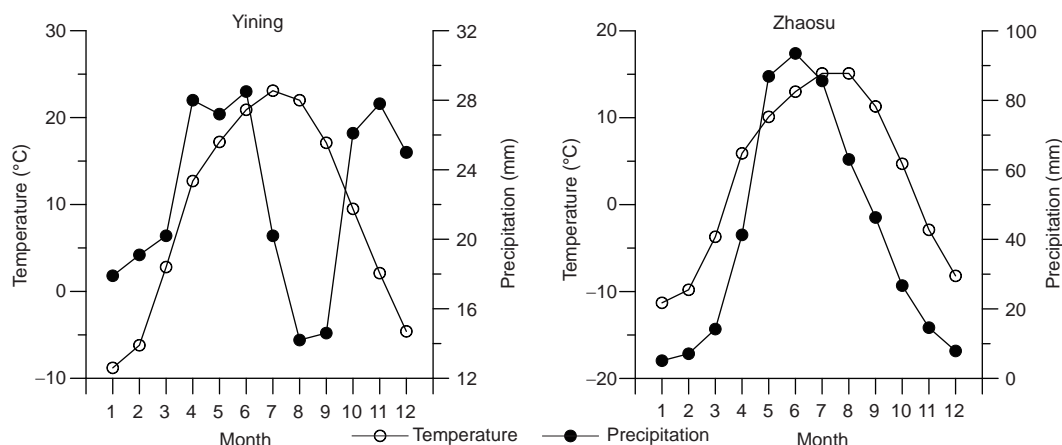
The Yili Basin is surrounded by the Tien Shan Mountains to the north, south and east (Figure 1). It has a temperate semi-arid continental climate and westerly winds prevail throughout the year. The moisture from the North Atlantic Ocean, Mediterranean, Black Seas and Aral-Caspian Basin play an important role on the precipitation in the area (Aizen et al., 2006; Böhner, 2006). Topography has a large influence

on the mean annual temperature (MAT) and mean annual precipitation (MAP) of the region. MAT ranges from 2.6–10.4°C, while MAP ranges from 200–500 mm, between the basin plains and mountain areas (Li, 1991). The vegetation zones of the Yili Basin are altitudinally controlled and classified in descending order, as follows: alpine cushion-like vegetation, alpine meadow, montane forest-meadow, subalpine meadow, montane steppe and desert (Xinjiang Expedition Team, 1978) (Figure 2).

Yining (43°57'N, 81°20'E, 663 masl (meter above sea level)) and Zhaosu (43°09'N, 81°08'E, 1855 masl) are selected to represent the respective climatic characteristics of the plain and mountain areas of Yili Basin. As shown in Figure 3, the temperatures in Yining and Zhaosu exhibit the same trend from January to December, with maximum temperature occurring in July and minimum temperature in January. How-



**Figure 2** Vertical vegetation zones around the study site in the Yili Basin (a), long shot (b) and close-up (c) of the Yili section.



**Figure 3** Variation of monthly mean temperature and precipitation in Yining and Zhaosu (average values from 1971 to 2000).



ever, the distributions of monthly mean precipitation are different; precipitation exhibits a double peak in Yining (May–June and October–December) and a single peak in Zhaosu (May–July) (Figure 3). The precipitation distributions in spring (March, April and May), summer (June, July and August), autumn (September, October and November) and winter (December, January and February) for both sites are listed in Table 1. The seasonal maximum precipitation occurs in spring in Yining, while the seasonal maximum precipitation occurs in summer in Zhaosu. However, a common characteristic of the two locations is that higher precipitation corresponds to temperatures in the range of 10–20°C.

### 3. Materials and methods

#### 3.1 Sediments and dating

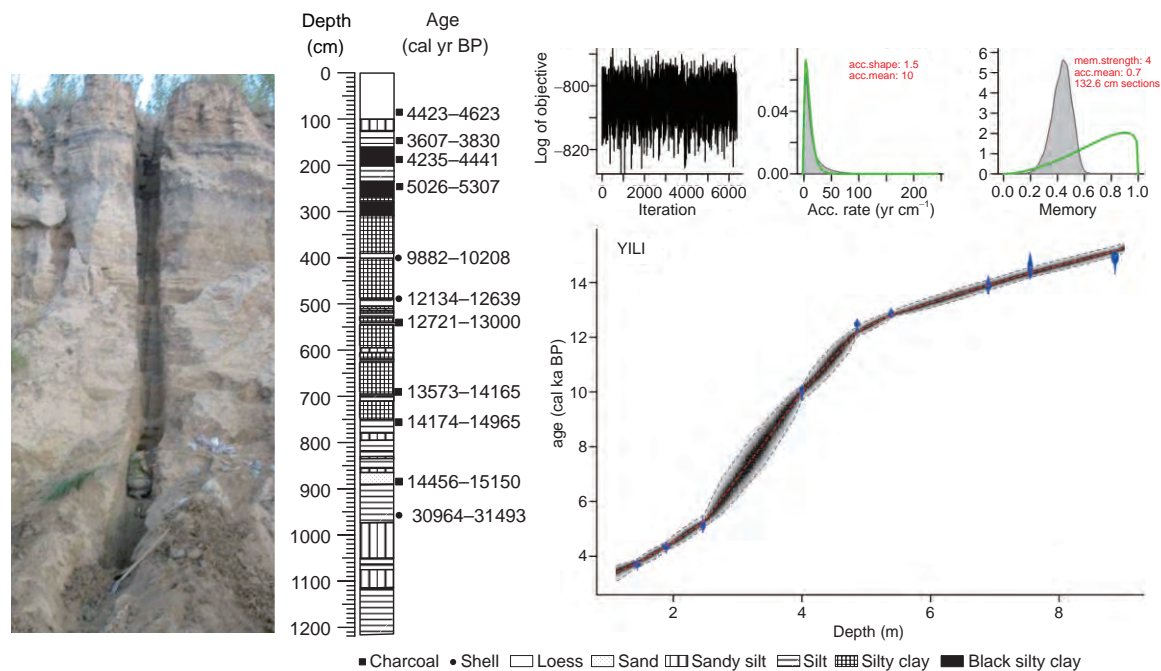
The Yili section (43°51'25.7"N, 81°57'54.3"E; 928 masl), a 12.2-m-deep sedimentary profile, is in an intramountain basin near Maza town, Yining City, Xinjiang Province (Figures 1 and 2). The section has clear horizontal bedding (Figures 2c and 4). A detailed description of the profile, as

well as the chronological framework, has been published elsewhere (Li et al., 2011; Zhao et al., 2013) and therefore only basic information is given here. A total of 244 sediment samples were collected at 0.05-m intervals through the section. Eight charcoal samples and three shell samples were selected for AMS  $^{14}\text{C}$  dating (Li et al., 2011; Zhao et al., 2013). Sample pretreatment and measurements were carried out at the Australian Nuclear Science and Technology Organization, Australia. Radiocarbon dates were converted to calibrated ages ( $2\sigma$ ) following the procedure of Reimer et al. (2013) (Figure 4).

An age inversion occurs in the sample from 0.82–0.84 m, suggesting that the loess in the upper 1 m was disturbed (Figure 4). The present study focuses on the sediments from 1.1–12.2 m depth which are mainly composed of silts, sands and clays. Age-depth model for the interval from 1.1–8.9 m which was produced by the Bacon software package (Blaauw and Christen, 2011). An AMS  $^{14}\text{C}$  date on a shell collected at 9.58–9.6 m depth is 30,964–31,493 cal yr BP, which falls within the last glaciation. According to the ages of the depths of 8.9 and 9.6 m and the interval of sandy sediment from 8.65–8.9 m, we conclude that a sedimentary hiatus occurs around the depth of 8.9 m, which may correspond to the Last

**Table 1** Seasonal distribution of modern precipitation (mm) in Yining and Zhaosu

Site	Altitude (m)	Spring	Summer	Autumn	Winter	MAP
Yining	663	75	63	69	62	269
Zhaosu	1855	142	242	88	20	492



**Figure 4** Age-depth model for Yili section produced by the Bacon software package (Blaauw and Christen, 2011). AMS  $^{14}\text{C}$  ages were calibrated with the IntCal13 curve ( $2\sigma$ ) (Reimer et al., 2013).

Glacial Maximum (LGM) (Zhao et al., 2013). The Bacon model isn't used below 8.9 m because of the hiatus and different lithology with the depth of 1.1–8.9 m.

### 3.2 Surface soil samples

Twelve surface soil samples (at the depth of 0–0.02 m) were collected from different vegetation communities in the Yili Basin (Figure 1, red circle), including one sample from Cupressaceae shrubland, one from a *Picea schrenkiana* forest, two from subalpine meadows, two from montane steppe, three from *Artemisia* desert, one from a *Populus euphratica* forest and one from a Chenopodiaceae desert shrubland. Within each vegetation community, the samples were collected from randomly selected plots. The surface soil  $\delta^{13}\text{C}_{\text{org}}$  values were measured to provide a basis for interpreting the  $\delta^{13}\text{C}_{\text{org}}$  record from the lacustrine sediments.

### 3.3 Measurements of $\delta^{13}\text{C}_{\text{org}}$ , TOC, TN, and grain size

A total of 111 sediment samples (from 1.1–12.2 m depth in the section) and 12 surface soil samples were analyzed for  $\delta^{13}\text{C}_{\text{org}}$ . The samples were oven-dried at 40°C for 24 h, ground in an agate mortar after removing modern rootlets with the aid of a stereomicroscope, and sieved through a 100  $\mu\text{m}$  mesh and homogenized. Approximately 3 g of each sieved sediment sample was treated with 2 mol L<sup>-1</sup> HCL at room temperature to remove carbonates, then rinsed to a pH of 7 with deionized water and dried at 40°C.

All the dried samples were combusted for 4 h at 850°C in evacuated sealed quartz tubes in the presence of 1 g of CuO, 1 g of Cu and Pt foil. The carbon dioxide was then cryogenically purified. The isotopic ratios of the purified CO<sub>2</sub> were measured using a Finnigan MAT 251 gas source mass spectrometer at the Institute of Earth Environment, Chinese Academy of Sciences (Liu et al., 2005a). Isotopic ratios are reported using  $\delta$ -notation in per mil (‰) relative to the V-PDB standard for C. We used a Chinese National Standard reference material GBW04407 ( $\delta^{13}\text{C}_{\text{GBW04407/VPDB}} = -22.43\text{‰} \pm 0.07\text{‰}$ ) and an international isotope reference material USGS24 ( $\delta^{13}\text{C}_{\text{USGS24/VPDB}} = -16.049\text{‰} \pm 0.035\text{‰}$ ) to control the overall precision of the analyses. The overall precision of the  $\delta^{13}\text{C}_{\text{org}}$  analysis was better than 0.2‰ with an average of 0.13‰. 85 dried samples were selected for total organic carbon (TOC) and total nitrogen (TN) measurements using an elemental analyzer (Costech ECS 4024) with a combustion temperature of 980°C at the Institute of Vertebrate Paleontology and Paleoanthropology, Chinese Academy of Sciences. The TOC and TN were used to calculate TOC/TN (C/N) ratios.

The grain-size distributions of 220 samples from 1.1–12.2 m depth were analyzed using a Mastersizer 2000 laser analyser with a measurement range of 0.02–2000  $\mu\text{m}$  at the

Institute of Earth Environment, Chinese Academy of Sciences. Samples weighing 2 g were pretreated with 15 mL 10% H<sub>2</sub>O<sub>2</sub> to remove OM and then with 10 mL of warm 10% HCl to remove carbonates. Deionized water was added to remove acidic ions. The sample residue was finally treated with 10 mL of 0.05 mol L<sup>-1</sup> (NaPO<sub>3</sub>)<sub>6</sub> on an ultrasonic vibrator for 10 min to facilitate dispersion before grain-size analysis. The mean grain size (MGS) value is reported in this study.

### 3.4 Calculation of C<sub>3</sub>/C<sub>4</sub> plant abundance and the end-members

Soil  $\delta^{13}\text{C}_{\text{org}}$ , an effective indicator of C<sub>3</sub>/C<sub>4</sub> relative abundance, which has been used widely to reconstruct paleovegetation and paleoclimate (Meyers and Lallier-Vergés, 1999; Liu et al., 2005a; Rao et al., 2010; Yang et al., 2015). For calculation of C<sub>3</sub>/C<sub>4</sub> plant abundance, the following mass balance equations are used:

$$C_3(\%) = (\delta^{13}\text{C}_{\text{org}} - \delta^{13}\text{C}_{C_4}) / (\delta^{13}\text{C}_{C_3} - \delta^{13}\text{C}_{C_4}) \times 100, \quad (1)$$

$$C_4(\%) = 100 - C_3(\%), \quad (2)$$

where C<sub>3</sub> (%) and C<sub>4</sub> (%) are percentages of C<sub>3</sub> and C<sub>4</sub> abundance in the local vegetation;  $\delta^{13}\text{C}_{C_3}$  and  $\delta^{13}\text{C}_{C_4}$  are the respective end-member values for C<sub>3</sub> and C<sub>4</sub> plants at a given locality and time of the soil deposit. The end-member values are impacted by many factors, such as temperature, precipitation, atmospheric CO<sub>2</sub> concentration,  $\delta^{13}\text{C}_{\text{org}}$  of atmospheric CO<sub>2</sub> ( $\delta^{13}\text{C}_{\text{atm}}$ ) and pedogenesis (Balesdent et al., 1993; Buchmann et al., 1997; Wang et al., 2008).

With reference to the studies of vegetation and loess soil  $\delta^{13}\text{C}_{\text{org}}$  values in China, Tajikistan and Kazakhstan (Gu et al., 2003; Yang and Ding, 2006; Wang et al., 2008; Rao et al., 2010; Ran and Feng, 2014; Yang et al., 2015), we assume that -26.7‰ and -12.8‰ for the end-member values of modern C<sub>3</sub> and C<sub>4</sub> plants. The  $\delta^{13}\text{C}_{C_3}$  and  $\delta^{13}\text{C}_{C_4}$  values then are obtained in view of the effect of  $\delta^{13}\text{C}_{\text{atm}}$  and degradation in different phases (Appendix Table S1, <https://link.springer.com>). The effect of changes in temperature, precipitation, atmospheric CO<sub>2</sub> concentration are neglected because the relationship between these factors and  $\delta^{13}\text{C}_{\text{org}}$  of plants in the Yili Basin is unknown. The proportions of C<sub>3</sub> and C<sub>4</sub> plants should be regarded as estimates because the end-members of  $\delta^{13}\text{C}_{\text{org}}$  are not known precisely, varying slightly in response to environmental and genetic variations (Nordt et al., 2002; Wynn, 2007).

## 4. Results

### 4.1 Surface soil $\delta^{13}\text{C}_{\text{org}}$ data and C<sub>3</sub>/C<sub>4</sub> relative abundance

The twelve surface soil  $\delta^{13}\text{C}_{\text{org}}$  values range from -28.8‰ to

–23.9‰ with an average of –25.3‰ (Table 2). The most positive  $\delta^{13}\text{C}_{\text{org}}$  value occurs in mountain steppe (–23.9‰) dominated by Poaceae and *Artemisia*, while the most negative value is from *Populus euphratica* forest (–28.8‰). The  $\delta^{13}\text{C}_{\text{org}}$  values from *Picea schrenkiana* forest (–27.2‰) and *Populus euphratica* forest are more negative than those from Cupressaceae shrubland, *Artemisia* desert, Chenopodiaceae desert, mountain steppe and subalpine meadow.

According to the results obtained using mass balance eqs. (1) and (2), the surface soil  $\delta^{13}\text{C}_{\text{org}}$  values indicate that the  $\text{C}_3$  and  $\text{C}_4$  plant average abundances are about 94.6% and 5.4% in the region (Table 2). That is the modern vegetation in the basin is dominated by  $\text{C}_3$  plants. The  $\text{C}_3$  plant abundance is high in *Picea schrenkiana* forest, *Populus euphratica* forest, subalpine meadow. A minor  $\text{C}_4$  plant signal is observed in mountain steppe, Chenopodiaceae desert and *Artemisia* desert, possibly because  $\text{C}_4$  species are better represented within the Chenopodiaceae and Poaceae.

There are no published records of the modern distribution of  $\text{C}_3$  and  $\text{C}_4$  plants in the Yili Basin, but many studies have been carried out within the surrounding regions in Xinjiang. An investigation of the  $\delta^{13}\text{C}_{\text{org}}$  values of 35 plant species in Aibi Lake Wetland located north of Yili Basin revealed 31  $\text{C}_3$  and 4  $\text{C}_4$  plant species respectively (He et al., 2010). An analysis of the  $\delta^{13}\text{C}_{\text{org}}$  values of 53 plant species in the southern margin of the Junggar Basin showed that 34 species were  $\text{C}_3$  plants and 19 species were  $\text{C}_4$  plants (Chen et al., 2002; Sun et al., 2007).

There are more than 4000 native plant species in Xinjiang and they are predominantly  $\text{C}_3$  plants.  $\text{C}_4$  plants are favored by the arid, saline and alluvial fan fringe areas in Xinjiang. Fourteen families, 63 genera and 279 species of  $\text{C}_4$  plants are known from the desert areas of Xinjiang, of which 110 are species of Chenopodiaceae, 32 Poaceae, 27 Cyperaceae, 27

Liliaceae, and 25 Polygonaceae (Feng et al., 2012). The relative abundance of  $\text{C}_4$  (5.4%) plants in Yili Basin calculated using eqs. (1) and (2) is close to the average  $\text{C}_4$  abundance (279/4000) in the Xinjiang area. It means the end-members are effective, and can be used to reconstruct the  $\text{C}_3$  and  $\text{C}_4$  abundance in the sediment.

## 4.2 TOC, TN and C/N

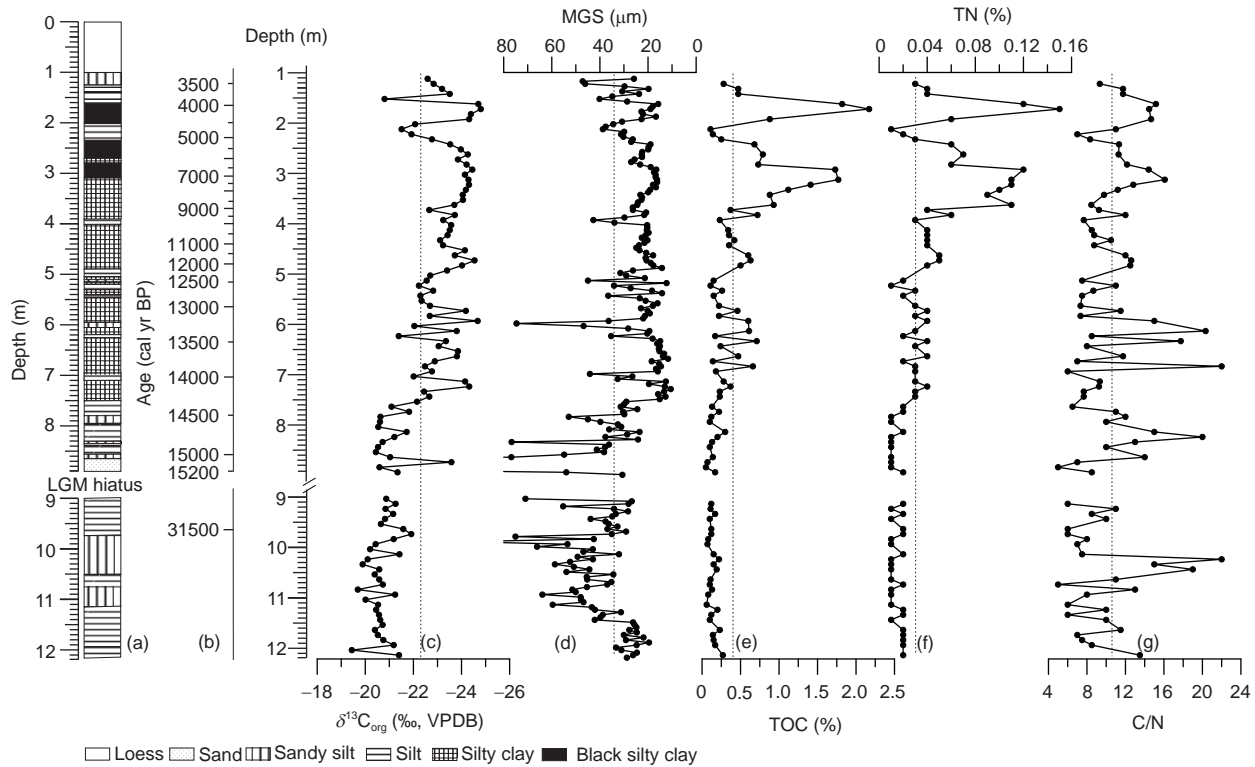
TOC varies from 0.05–2.17% with an average of 0.39% (Figure 5e), and TN from 0.01–0.15% with an average of 0.03% (Figure 5f). The TOC values of most of the samples are less than 0.3%, while the TN values are ~0.02 from 12.2–7.6 m; above 7.6 m (14.4 cal kyr BP), the TN values rise gradually. The highest TOC and TN values occur within the depth interval of 1.7–1.75 m (4.1 cal kyr BP). The TOC in 64.7% of the samples is < 0.3%, and the TN in 52.9% of the samples ranges from 0.01–0.02. The C/N ratios range from 5–22 with average of 10.6 (Figure 5g); the maximum value occurs at the depth of 10.2 m and the minimum at 8.8 m.

## 4.3 $\delta^{13}\text{C}_{\text{org}}$ and grain size record of the Yili section

The  $\delta^{13}\text{C}_{\text{org}}$  values of the Yili section (Figure 5c) range between –19.4‰ and –24.8‰ with an average of –22.3‰. The  $\delta^{13}\text{C}_{\text{org}}$  values are more positive, with an average of –20.7‰, within the depth interval of 12.2–9 m, corresponding to the time of last glaciation. The average value decreases to –21.1‰ at the depth of 8.9–7.6 m (15.2–14.4 cal kyr BP). The values become more negative (average of –23.3‰) from 7.6–1.1 m (14.1–3.4 cal kyr BP); they are relatively stable from 4.2–2.4 m (10.6–5.2 cal kyr BP), with an average of –23.9‰; and they fluctuate above 2.4 m depth (5.2 cal kyr

**Table 2** Surface soil  $\delta^{13}\text{C}_{\text{org}}$  values and  $\text{C}_3/\text{C}_4$  abundance from Yili Basin

Sample site	$\delta^{13}\text{C}_{\text{org}}$ (‰)	Vegetation type	Altitude (m)	$\text{C}_3$ (%)	$\text{C}_4$ (%)
1	–24.8	Cupressaceae shrubs	2656	93.5	6.5
2	–27.2	<i>Picea schrenkiana</i> forest	2502	100	0
3	–25.8	Subalpine meadow	1926	100	0
4	–25.8	Subalpine meadow	1885	100	0
5	–24.1	Montane steppe	1576	88.5	11.5
6	–28.8	<i>Populus euphratica</i> forest	1173	100	0
7	–24.4	Chenopodiaceae desert	1014	91.2	8.8
8	–23.9	<i>Artemisia</i> desert	1021	87.6	12.4
9	–25.7	<i>Artemisia</i> desert	1183	100	0
10	–23.9	Chenopodiaceae desert	975	87.7	12.3
11	–25.5	<i>Artemisia</i> desert	1005	98.9	1.1
12	–23.9	Montane steppe	2136	87.2	12.8
Average value	–25.3			94.6	5.4



**Figure 5** Lithology (a), age (b),  $\delta^{13}\text{C}_{\text{org}}$  (c), mean grain size (MGS) (d), TOC (e), TN (f) and C/N ratio (g) for the Yili section. The dashed lines are the average values for specific intervals. In (d), MGS values  $\geq 80 \mu\text{m}$  are clipped to the axis limits to highlight the major trends.

BP), with an average of  $-23.1\text{‰}$ . The maximum  $\delta^{13}\text{C}_{\text{org}}$  value ( $-19.4\text{‰}$ ) occurs at the depth of 12–12.05 m, while the minimum ( $-24.8\text{‰}$ ) occurs at the depth of 1.7–1.75 m (4.1 cal kyr BP). Overall, there is a negative trend of  $\delta^{13}\text{C}_{\text{org}}$  values from the late Pleistocene to the Holocene.

For the entire profile, the MGS varies from 10.6–245.3  $\mu\text{m}$  with an average of 34.4  $\mu\text{m}$  (Figure 5d), and there is an overall decreasing trend of MGS from the late Pleistocene to the Holocene. Within the depth interval of 12.2–8.9 m, the MGS ranges from 19.6–98.5  $\mu\text{m}$ , with an average of 40.9  $\mu\text{m}$ ; from 8.9–7.6 m (15.2–14.4 cal kyr BP) it ranges from 23.5–245.3  $\mu\text{m}$ , with an average of 69  $\mu\text{m}$ ; from 7.6–2.4 m (14.4–5.2 cal kyr BP), the average MGS decreases to 23.5  $\mu\text{m}$ ; and above 2.4 m (5.2 cal kyr BP), the MGS becomes more variable. In generally, the MGS values show a similar trend of variation to  $\delta^{13}\text{C}_{\text{org}}$  records.

## 5. Discussion

### 5.1 Source of the sedimentary OM

Distinguishing the OM source of sediments is an important precondition for tracing climatic changes using  $\delta^{13}\text{C}_{\text{org}}$  (Meyers and Lallier-Vergés, 1999). The OM of eolian sediment is derived from terrestrial vegetation growing around the site and very little is contributed by aquatic plants (Rao et al., 2013; Liu et al., 2005b). However, there are two sources

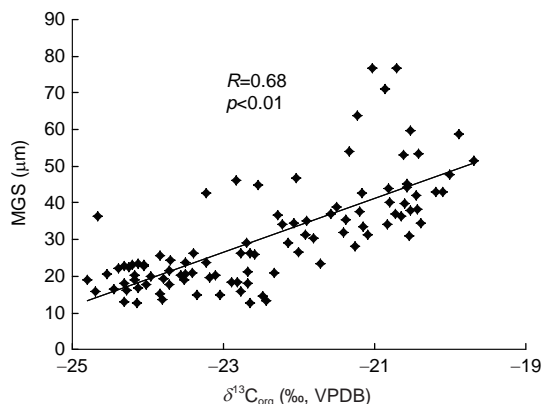
of OM in lake sediments: from terrestrial and aquatic plants (Meyers and Lallier-Vergés, 1999). For example, the OM content of the sediments of Gucheng Lake (Shen et al., 1998a), Dabusu Lake (Shen et al., 1998b), Barkol Lake (Sun et al., 2014) and Lop Nur (Luo et al., 2008) is mainly of allochthonous origin from terrestrial vegetation, while in the case of Biwa Lake (Meyers and Horie, 1993), Wulungu Lake (Liu et al., 2008) the sources are mainly autochthonous, from aquatic plants.

In general, C/N ratios are an effective indicator for distinguishing the source of OM. C/N ratios  $<10$  occur where the OM is dominated by aquatic plants which have a relatively high protein and low cellulose content; C/N ratios  $>10$ , and even  $>20$ , occur where the OM is dominated by terrestrial plants which have high content of vascular material and a low protein content (Meyers, 2003). However, the C/N ratio is unsuitable for inferring the source of OM in some shallow lakes because (1) the OM may be degraded due to stronger oxidizing conditions, and (2) the C/N ratios may be influenced by inorganic nitrogen, especially when the TOC content is  $<0.3\%$  (Meyers, 2003; Woszczyk et al., 2011; Wang et al., 2012). Since the TOC content of most of the samples from the Yili section is  $<0.3\%$ , the C/N is unsuitable for diagnosing the source of the OM.

In the interval before the last deglaciation, the MGS is coarser while the  $\delta^{13}\text{C}_{\text{org}}$  values are more positive. After the last deglaciation, the grain size decreases, and the  $\delta^{13}\text{C}_{\text{org}}$

values become more negative. The  $\delta^{13}\text{C}_{\text{org}}$  values are positively correlated with the MGS within the section (Figure 6). The MGS reflects the strength of hydrodynamic conditions, which suggests that the  $\delta^{13}\text{C}_{\text{org}}$  values are substantially or predominantly influenced by hydrodynamic conditions which promote the transport of terrigenous materials to the lake. The dry climate during the last glaciation led to lower lake levels, so the water flow can bring more coarse grain sizes to the site. During the last deglaciation and Holocene, the climate become wetter and the lake level rose, resulting in more finer grain sizes arriving at the site.

Pollen records can provide useful information about the origin of sedimentary OM (Giresse et al., 1994; Rudaya and Li, 2013). The pollen record of the Yili section indicates that since the late part of the last glaciation the vegetation at the site was dominated by herbs such as *Artemisia*, Chenopodiaceae, Poaceae and Compositae (Figure 7a) (Li et al., 2011; Zhao et al., 2013). The representation of aquatic plant pollen in the Yili section is very low and only exceeds 1% in a few samples. *Typha* representation is >1% in a single sample from the depth of 7.4–7.45 m, which is dominated by Chenopodiaceae (54.6%). The Sparganiaceae pollen content is >1% at the depth of 3.2–3.55 m, which is dominated by *Artemisia* (28.6%) and Compositae (30.8%) (Li et al., 2011). Therefore, it is clear that the OM content of the Yili section is predominantly derived from terrestrial plants ( $\text{C}_3$  and  $\text{C}_4$ )

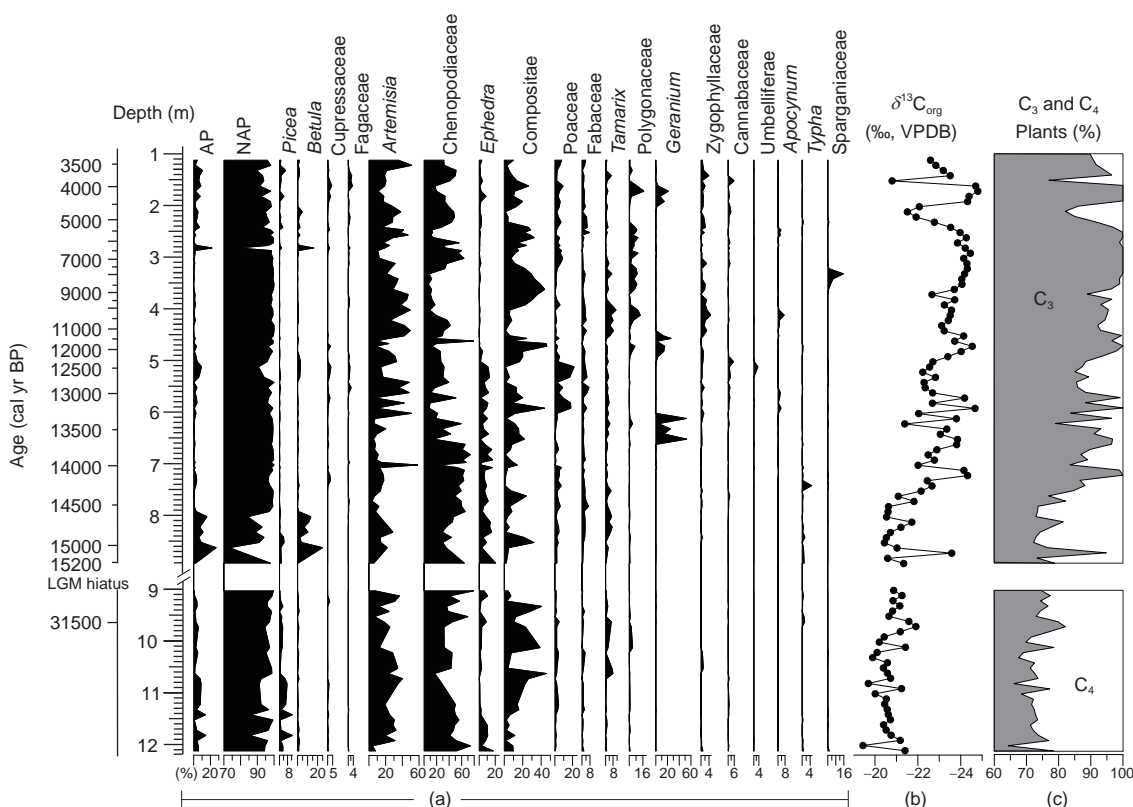


**Figure 6** Scatter plot showing the relationship between mean grain size (MGS) and  $\delta^{13}\text{C}_{\text{org}}$  for the Yili section. MGS values  $\geq 80 \mu\text{m}$  are clipped in the figure.

around the site.

## 5.2 Changes in $\text{C}_3/\text{C}_4$ relative abundance from the last glaciation to the Holocene

The mass balance eqs. (1) and (2) were used to calculate changes in the relative abundances of  $\text{C}_3$  and  $\text{C}_4$  plants since the last glaciation (Figure 7c). The average  $\text{C}_3$  relative abundance is 73.3% at the depth of 12.2–9 m. At the beginning of the last deglaciation (8.9–7.6 m, 15.2–14.4 cal kyr



**Figure 7** Pollen stratigraphy (a),  $\delta^{13}\text{C}_{\text{org}}$  (b), calculate representation of  $\text{C}_3$  and  $\text{C}_4$  plants (c) for the Yili section.



BP), the  $C_3$  proportion increased to 77.2%. The average  $C_3$  proportion was up to 93.3% within the depth interval of 7.6–1.1 m (14.4–3.4 cal kyr BP). The  $C_3/C_4$  relative abundance was unstable during 5.2–3.4 cal kyr BP.

The calculations indicate that from the last glaciation to the Holocene the local vegetation was dominated by  $C_3$  plants, with an overall increasing trend of  $C_3$  plant representation and a decreasing trend of  $C_4$  plant representation (Figure 7c). We infer that  $C_3$  representation was relatively low in the last glaciation and high during the deglaciation and Holocene, while the representation of  $C_4$  plants exhibited the reverse trend.

More  $C_4$  species are present the Chenopodiaceae and Poaceae families, thus we investigate the relationship between the two families and the  $C_4$  biomass recovered in this study. There is a positive correlation ( $R=0.35$ ,  $p<0.01$ ) between Chenopodiaceae pollen proportion and  $C_4$  (%) (Figure 8a). As shown in Figure 7, more  $C_4$  (%) corresponds to high percentages of Chenopodiaceae pollen at the depth of 12.2–6.5 m, and less  $C_4$  (%) corresponds to low Chenopodiaceae values at the depth of 6.5–1.1 m (13.6–3.4 cal kyr BP). We suggest that Chenopodiaceae species have a significant contribution to  $C_4$  values in the sediment. There is a negative correlation between Poaceae pollen percentage and  $C_4$  (%) (Figure 8b). Although it is difficult to distinguish the  $C_3$  and  $C_4$  types on the base of pollen morphology, the negative relationship is likely to mean that the Poaceae is dominated by  $C_3$  types in the Yili Basin.

Our results are supported by  $\delta^{13}C_{org}$  records from loess deposits from Tajikistan, Kazakhstan and the Yili Basin, which all indicate that the vegetation in central Asia was dominated by  $C_3$  plants (Yang and Ding, 2006; Rao et al., 2013; Ran and Feng, 2014). A minor  $C_4$  biomass peak occurred at ~228, 171 and 18 kyr BP when loess was deposited during cold and dry intervals in Tajikistan (Yang and Ding, 2006). The relative abundance of  $C_4$  plants reached a maximum (~15%) during the cold LGM (~25–19 kyr BP) in Kazakhstan (Ran and Feng, 2014). We conclude that the same mechanism was responsible for controlling the  $C_3/C_4$  relative abundance in Central Asia.

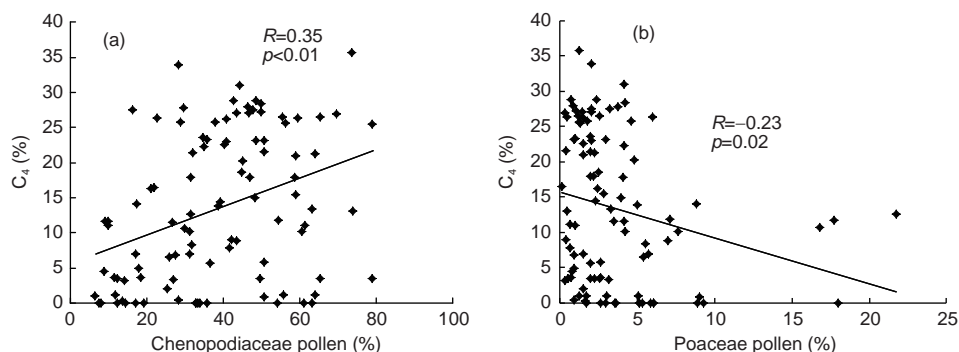
### 5.3 Factors controlling $C_3/C_4$ relative abundance in the study area

Temperature, precipitation and  $CO_2$  concentration are usually considered to be the main factors controlling the development of terrestrial vegetation, including  $C_3/C_4$  relative abundance (O'Leary, 1988; Farquhar et al., 1989; Wang et al., 2014). Next, we compare our records of  $\delta^{13}C_{org}$  and  $C_3/C_4$  relative abundance from the Yili section with the  $\delta^{18}O$  paleotemperature record from the GISP2 Greenland ice core (Stuiver et al., 1995; Grootes and Stuiver, 1997), a composite ice core record of  $pCO_2$  from Antarctica (Petit et al., 1999; Monnin et al., 2001) and the  $\delta^{18}O$  records from Kesang Cave stalagmite (Cheng et al., 2012) to consider the potential factors controlling local  $C_3/C_4$  relative abundance.

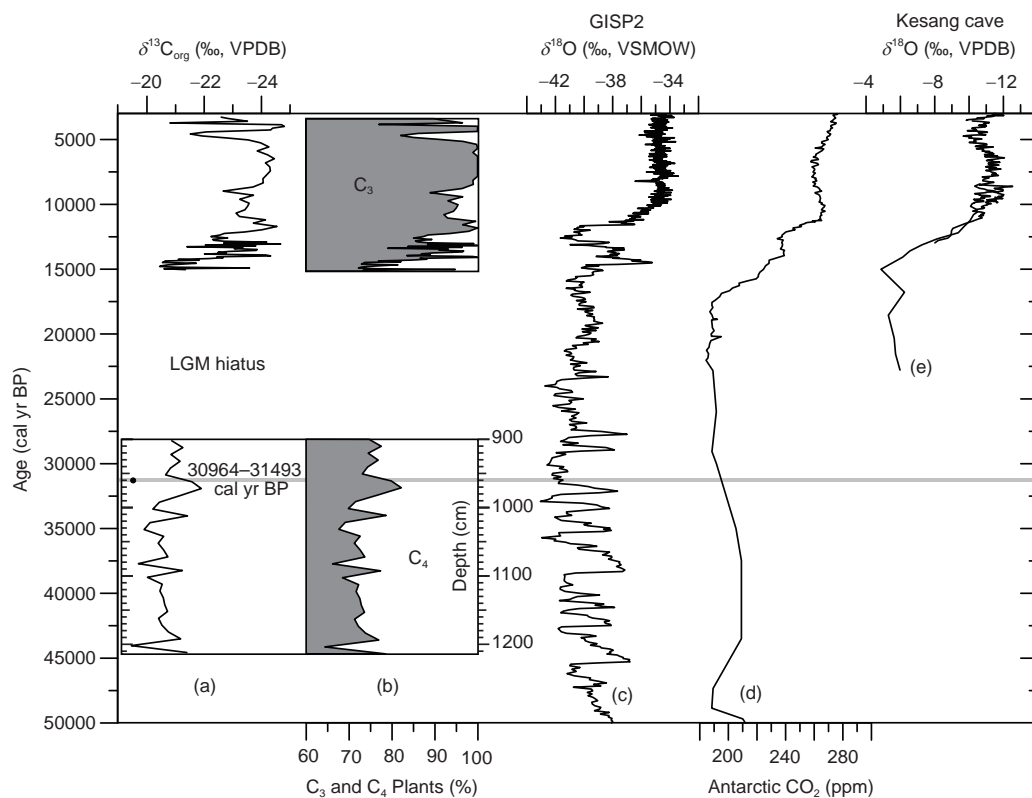
It is currently assumed that the  $\delta^{13}C_{org}$  values of  $C_3$  plants become more positive with increasing temperature (Wang et al., 2013; Lu et al., 2015), and that  $C_4$  plants also favour higher temperatures. If temperature is the dominant factor,  $\delta^{13}C_{org}$  will become more positive, reflecting an increasing proportion of  $C_4$  vegetation during the Holocene. However, our results for the Yili section show that the  $\delta^{13}C_{org}$  values became more negative with increasing temperature, suggesting an increase in the relative abundance of  $C_3$  plants (Figure 9a–9c). Therefore, we conclude that temperature change was not the dominant influence on changes in  $\delta^{13}C_{org}$  and  $C_3/C_4$  relative abundance in the study area.

An increase in  $CO_2$  concentration favors  $C_3$  plants which would potentially cause the  $\delta^{13}C_{org}$  values of  $C_3$  plants to become more negative (Schubert and Jahren, 2012; Wang et al., 2014). Our records show that  $\delta^{13}C_{org}$  values became more negative, in accord with the increasing  $CO_2$  concentration during the last deglaciation; however, this inverse relationship is not observed during the Holocene (Figure 9a and 9d). The  $\delta^{13}C_{org}$  values vary and are more positive with the rise in  $CO_2$  concentration from 5 cal kyr BP. The assumption of  $CO_2$  control cannot explain the fluctuations in  $\delta^{13}C_{org}$  values following about 5.2 kyr BP.

Despite the numerous glacial-interglacial cycles and associated fluctuations in temperature and  $CO_2$  over past 1.77



**Figure 8** Scatter plot showing the relationship between  $C_4$  biomass and Chenopodiaceae (a) and Poaceae (b) pollen percentages for the Yili section.



**Figure 9** Comparison of records of  $\delta^{13}C_{org}$  (a), C<sub>3</sub> and C<sub>4</sub> plant abundance (%) (b) in Yili Basin with the  $\delta^{18}O$  paleotemperature record from the GISP2 Greenland ice core (c) (Stuiver et al., 1995; Grootes and Stuiver, 1997), a composite CO<sub>2</sub> record from Antarctica (d) (Petit et al., 1999; Monnin et al., 2001) and the  $\delta^{18}O$  record from Kesang Cave (e) in Yili Basin (Cheng et al., 2012). 1 ppm=1 mg L<sup>-1</sup>.

Myr, the vegetation remained continuously dominated by C<sub>3</sub> plants. Thus, temperature and CO<sub>2</sub> evidently had little influence on the C<sub>3</sub>/C<sub>4</sub> relative abundance in central Asia (Yang and Ding, 2006). The  $\delta^{13}C_{org}$  records from loess deposits in north China and from lake sediments in Central America (Huang et al., 2001; Lu et al., 2015) also suggest that CO<sub>2</sub> concentration was not the dominant factor influencing  $\delta^{13}C_{org}$  changes. Therefore, we exclude that CO<sub>2</sub> concentration was the main driver of  $\delta^{13}C_{org}$  changes in our records, and this conclusion is supported by studies of the  $\delta^{13}C_{org}$  values of modern soils and vegetation (Lee et al., 2005; Rao et al., 2010; Wang et al., 2013).

Surface soil  $\delta^{13}C_{org}$  values from arid and semi-arid areas in central East Asia were found to be negatively correlated with precipitation from May to September (Lee et al., 2005). The  $\delta^{13}C_{org}$  values of loess sediments in the Yili Basin reflects the response of C<sub>3</sub> plants to changes in precipitation (Rao et al., 2013), while the  $\delta^{13}C_{org}$  values of loess in Kazakhstan were used to reflect moisture changes in the area since ~46 kyr BP (Ran and Feng, 2014). The  $\delta^{13}C_{org}$  values of loess in Tajikistan suggest that winter-spring precipitation was the principal factor causing the predominance of C<sub>3</sub> plants in central Asia over the past 1.77 Myr (Yang and Ding, 2006). We conclude that C<sub>3</sub>/C<sub>4</sub> relative abundance in Yili Basin from the last glaciation to the Holocene was largely controlled by

winter-spring precipitation. Increasing winter-spring precipitation resulted in an increase in C<sub>3</sub> relative abundances, and hence more negative  $\delta^{13}C_{org}$  values in the study region.

The  $\delta^{18}O$  records of stalagmite from Kesang Cave in Yili Valley demonstrates that the precipitation increased from last glaciation to the Holocene (Cheng et al., 2012) (Figure 9e). Arguably, increased MAP also was responsible for driving the  $\delta^{13}C_{org}$  of C<sub>3</sub> plants towards more negative values from the last glaciation to the Holocene. However, if this were the case, the MAP would have increased by about 650 mm in the basin from the last glaciation (-20.7‰) to the Holocene (-23.3‰), based on the -0.40‰ change per 100 mm increase in MAP in north China (Wang et al., 2008). The modern MAP is ~269 mm, and the 650-mm increase means that there was almost no precipitation in the study region during the last glaciation. Our pollen and sediment data evidently do not support this conclusion (Zhao et al., 2013). The effect of MAP on negative trend of the C<sub>3</sub> plants  $\delta^{13}C_{org}$  can be neglected. The seasonal rainfall played an important role in controlling C<sub>3</sub>/C<sub>4</sub> plant relative abundance which dominated the  $\delta^{13}C_{org}$  values changes.

The seasonality does change spatially from winter precipitation in the Eastern Mediterranean and the main part of central Asia to spring-summer precipitation in eastern central Asia (Cheng et al., 2012; Kutzbach et al., 2013). Yili Basin is

close to the boundary of the seasonality change and thus could be subject to temporal changes in seasonality. The winter-spring rainfall, especially the spring rainfall, can increase effective moisture to the soil because of more precipitation and relative low temperature (e.g. low evaporation). This benefits the growth of plants and results in the increased abundance of  $C_3$  in the basin.

#### 5.4 Origin of the increased winter-spring rainfall since the last deglaciation

The trend of decreasing  $\delta^{13}C_{org}$  in the study section indicates a progressive increase in winter-spring rainfall from the last glaciation to the Holocene in the Yili Basin. Thus, winter-spring rainfall was greater in the warmer Holocene than during the colder last glaciation. Relatively high winter-spring rainfall (i.e. a Mediterranean-type climate), is one of the principal features of the modern distribution of seasonal precipitation in most of central Asia. However, the seasonal distribution pattern of precipitation during the last glaciation may have been different from that of today (i.e., with less winter-spring rainfall than today). Our conclusion is supported by other paleoclimatic records from the Mediterranean, the Middle East, and the interior of Asia (Peyron et al., 2011). In addition, most climate models simulate enhanced winter rainfall in the Mediterranean during the early to mid-Holocene (Hewitt and Mitchell, 1996). Moreover, pollen records reveal that winter precipitation was highest during warm intervals and lowest in cool intervals between 15 and 4 kyr BP in the Mediterranean region (Dormoy et al., 2009).

Climate model simulations indicate the wetter periods in the Mediterranean, the Middle East, and the interior of Asia, occurring at times of maximum NH seasonality, are linked to increased wintertime storm track precipitation, or a combination of increased winter and summer rainfall (Kutzbach et al., 2013). On the precession scale, NH summer coincided with perihelion at the beginning of the Holocene (11–10 kyr BP) and resulted in more winter-spring precipitation. Therefore, we attribute the increased winter-spring precipitation from the last glaciation to Holocene in Yili Basin to increased wintertime storms in the middle latitudes of Asia (Kutzbach et al., 2013).

## 6. Conclusions

We have used  $\delta^{13}C_{org}$  records from Yili Basin in Xinjiang Province, China, to reconstruct past changes in  $C_3/C_4$  relative abundance and corresponding climate changes in central Asia from the last glaciation to the Holocene. Our results show that the vegetation was dominated by  $C_3$  plants since the last glaciation, while  $C_4$  plants were slightly better represented in the last glaciation than in the Holocene. Based

on comparisons with other paleoclimatic records, combined with climate simulations, we conclude that winter-spring precipitation was the dominant factor controlling  $C_3/C_4$  relative abundance. The negative trend of  $\delta^{13}C_{org}$  and increasing  $C_3$  relative abundance indicate an increase in winter-spring precipitation from the last glaciation to the Holocene. We suggest that increasing wintertime storm tracks in central Asia were responsible for the trend of increasing winter-spring precipitation in the region.

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