

1 **Genetic adaptations of an island pit-viper to a unique sedentary life with extreme**  
2 **seasonal food availability**

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31 **Keywords**

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43 **Abstract**

44 The Shedao pit-viper (*Gloydius shedaoensis*) exhibits an extreme sedentary lifestyle.  
45 The island species exclusively feeds on migratory birds during migratory seasons and  
46 experiences prolonged hibernation and aestivation period each year (up to eight  
47 months). The sedentary strategy reduces energy expenditure, but may trigger a series  
48 of adverse effects and the snakes have likely evolved genetic modifications to  
49 alleviate these effects. To investigate the genetic adaptations, we sequenced and  
50 compared the transcriptomes of the Shedao pit-viper and its closest mainland relative,  
51 the black eyebrow pit-viper (*G. intermedius*). The Shedao pit-viper revealed a low  
52 rate of molecular evolution compared to its mainland relative, which is possibly  
53 associated with metabolic suppression. Signals of positive selection were detected in  
54 two genes related to antithrombin (*SERPINC1*) and muscle atrophy (*AARS*). Those  
55 genes exert significant functions in thrombosis, inhibiting oxidation and prolonged  
56 fasting. Convergent and parallel substitutions of amino acid with two other sedentary  
57 vertebrates, which often suggest adaptation, were found in a fatty acid beta-oxidation  
58 related gene (*ACATA1*) and a circadian link gene (*KLF10*), which regulate lipogenesis,  
59 gluconeogenesis, and glycolysis. Furthermore, a circadian clock gene (*CRY2*)  
60 exhibited two amino acid substitutions specific to the Shedao pit-viper and one variant  
61 was predicted to affect protein function. Modifications of these genes and their related  
62 functions may have contributed to the survival of this island snake species with a  
63 sedentary lifestyle and extreme seasonal food availability. Our study demonstrated  
64 several important clues for future research on physiological and other phenotypic  
65 adaptation.

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67 **Keywords**

68 Genetic adaptations, transcriptome, extreme sedentary life, *Gloydius shedaoensis*  
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## 70 **Introduction**

71 Organisms often face challenges from strong seasonality, where key climatic variables  
72 and resources (e.g. temperature, rainfall, food availability) may vary drastically from  
73 season to season, and many have evolved strategies to survive such seasonal changes.  
74 Prolonged immobilization including hibernation and aestivation are commonly  
75 employed by many animal species (Hiong et al. 2013; Bose et al. 2016; Faherty et al.  
76 2016). Inactivity may reduce energy expenditure, thereby allowing them to survive off  
77 limited resources and even in severe environments (Storey 2000). For example,  
78 hibernating mammals often reduce their basic metabolic rates to as low as 2-4% of  
79 their normal rates and maintain their body temperatures within a few degrees above  
80 ambient temperatures (Barnes 1989). Similarly, lungfishes (e.g. *Protopterus* sp.) and  
81 green-striped burrowing frogs (*Cyclorana alboguttata*) often enter into aestivation to  
82 avoid death in summer with water and food restriction (Kayes et al. 2009; Navas and  
83 Carvalho 2010). Lungfishes hyperventilate and secrete large amounts of mucus which  
84 turns into a dry cocoon to conserve energy and retain body water (Ballantyne and  
85 Frick 2010), while green-striped burrowing frogs reduce whole animal metabolism by  
86 82%, alongside a reduction in muscle and liver metabolism (Kayes et al. 2009).

87 Nevertheless, prolonged immobilization also triggers a series of problems, such  
88 as blood clotting, muscle atrophy, energy shortage, and dysrhythmia, which likely  
89 have adverse impacts on animal survival (Boyer and Barnes 1999). Therefore,  
90 animals often evolve genetic adaptations to alleviate these adverse effects. For  
91 example, Richardson's ground squirrels (*Spermophilus richardsonii*) increase their  
92 alpha 2-Macroglobulin at both mRNA and protein levels, which may play an  
93 important role in decreasing the coagulative properties of their blood (Srere et al.  
94 1995). Genes related to metabolic process, basic cellular process, cellular adhesion,  
95 blood coagulation, and immune response showed highly variable expression in  
96 Madagascar's dwarf lemurs (*Cheirogaleus medius*; Faherty et al. 2016). Estivating  
97 green-striped burrowing frogs are able to regulate the expression of genes in several

98 major cellular pathways critical to the survival and viability of muscle cells while  
99 avoiding the deleterious consequences of muscle disuse (Reilly et al. 2013). Despite  
100 these works, our current knowledge regarding genetic adaptation to prolonged  
101 immobilization is largely limited to gene expression profiles with known association  
102 to physiological adjustments in a small number of species, particularly mammals.

103 The Shedao pit-viper (*Gloydius shedaoensis*) presents a unique sedentary  
104 lifestyle in extreme seasonal food scarcity. The snakes occur exclusively on the  
105 Shedao Island (meaning “snake island” in Chinese), which is a small island off the  
106 coast of the Liaodong Peninsula in the Bohai Sea. The island is approximately 0.73  
107 km<sup>2</sup> in size and 5.3 km away from the nearest continent (Li 1995). The adult snakes  
108 are ~600 mm in length and the generation time is ~3 years, which is similar to its  
109 mainland relative, the black eyebrow pit-viper (*G. intermedius*). The census  
110 population size of the snake is estimated at approximately 20,000 (Li et al. 2007). The  
111 most pronounced seasonal change is food availability, although temperature and other  
112 climatic variables also change seasonally. The island is an important stopover point  
113 for at least 80 species of migratory birds in May-June and August-October every year,  
114 which provide the seasonal food resource (e.g. black-tailed gulls *Larus crassirostris*,  
115 white waist swifts *Apus pacificus*; Li 1995). The vipers typically remain in  
116 hibernation and aestivation without any food or movement for the rest of the year.  
117 This may involve corresponding changes in physiology, so as to make the internal  
118 environment stable and suitable to the outside environment. Some individuals may  
119 fail to obtain food in a year, and fast for up to 18 months (Li 1995). Freshwater is also  
120 limited; there are no natural or artificial ponds on the island, and the primary water  
121 source for snakes is the dew from the grass and leaves in the morning.

122 The small size of the island, high population density of snakes, and strong  
123 seasonality in food availability together intensify selection pressure on the Shedao  
124 pit-vipers. A previous radio-telemetric study indicated that the snakes were extremely  
125 sedentary and their average daily displacement was less than 2 m, which may reduce

126 energy expenditure and thus facilitate survival on the food limited island (Shine et al.  
127 2003). In addition, our two years' tracking data suggest that their movement ranges  
128 are extremely small ( $< \sim 100 \text{ m}^2$ ; Wu, unpublished data). Therefore, the Shedao  
129 pit-viper offers an extreme example to investigate the physiological and genetic  
130 mechanisms underlying a sedentary life.

131 Our objectives are to explore the genetic variations of the Shedao pit-viper that  
132 are potentially linked to adaptations to the sedentary life with seasonal food shortage.  
133 In particular, we examine 1) whether there is a reduced rate of evolution, as may be  
134 expected due to its sedentary lifestyle, and 2) which genes, if any, can be linked to the  
135 unique ecology of this island species. We sequenced the transcriptomes of the Shedao  
136 pit-viper and its mainland relative, the black eyebrow pit-viper (*G. intermedius*).  
137 Genomic data of nine other vertebrates were also gathered from public sources to  
138 further contextualize island-specific patterns of evolution. Furthermore, we tested  
139 genes under positive selection, as well as genes with patterns of convergence between  
140 the Shedao pit-viper and other vertebrates with extended hibernation and aestivation.

141

## 142 **Materials and Methods**

### 143 *Sampling, sequencing, and assembling*

144 One individual of the Shedao pit-viper (*G. shedaoensis*) was sampled from the  
145 Shedao Island (38° 57' 0" N, 120° 59' 0" E) and one individual of the black eyebrow  
146 pit-viper (*G. intermedius*) was sampled from the Xinbin Manchu Autonomous County  
147 (41° 55' 28" N, 124° 26' 21"E), Liaoning province, China. These two species are  
148 closely related and therefore are appropriate for genetic comparison (Zhao 1980; Shi  
149 et al. 2016). The two samples were euthanized with sodium pentobarbital solution and  
150 dissected immediately after death. Five tissues, including brain, liver, heart, skeletal  
151 muscle, and gonad, were collected. All activities were under permission from local  
152 conservation authorities and animal handling followed the approved protocols  
153 (protocol number 2017005, Chengdu Institute of Biology).

154 RNA was extracted separately from each tissue using a standard Trizol protocol  
155 (Invitrogen). We mixed the RNA from each tissue in approximately equal quantities  
156 for each species. The concentration and integrity of total RNA were examined using  
157 agarose gel electrophoresis, a NanoPhotometer spectrophotometer (IMPLEN, CA,  
158 USA), as well as an Agilent Bioanalyzer 2100 (Agilent Technologies, CA, USA). RIN  
159 scores of the total RNA used for library preparation were greater than 8.6. The  
160 NEBNextPoly(A) mRNA Magnetic Isolation Module (NEB, E7490) was used to  
161 enrich mRNA. The cDNA libraries were constructed using the NEBNext mRNA  
162 Library Prep Master Mix Set for Illumina (NEB, E6110) and the NEBNext Multiplex  
163 Oligos for Illumina (NEB, E7500). Insert size was detected by 1.8% agarose gel  
164 electrophoresis. Library Quantification Kit-Illumina GA Universal (Kapa, KK4824)  
165 was used to carry out a qPCR quantification. The libraries were subsequently  
166 sequenced on an Illumina HiSeq2000 platform in Novogene Inc (Beijing, China).  
167 Through this process, we obtained approximately 8 Gb raw data of 150bp paired-ends  
168 reads for each species. The Q30 of sequencing data was 87.07% and 96.58% for *G.*  
169 *shedaensis* and *G. intermedius*, respectively.

170 We performed quality filtration and *de novo* assembly. The raw reads were first  
171 cleaned by filtering out the adapter sequences using Trimmomatic (Bolger et al. 2014)  
172 with the following parameters: seedMismatches = 2, palindromeClipThreshold = 30,  
173 and simpleClipThreshold = 10. High quality reads (>Q20) with less than 10% unknown  
174 base calls were retained. The final assemblies were produced using Trinity (Grabherr et  
175 al. 2011) with default parameters according to the published protocols (Haas et al.  
176 2013). Likely open reading frames (ORFs), that were at least 100 amino acids long,  
177 were extracted from transcripts in the assemblies using Transdecoder (Haas et al. 2013).  
178 When multiple transcripts were available for the same genes, only transcripts with the  
179 longest CDS were selected for further analyses. The completeness of the  
180 transcriptomes of *G. shedaensis* and *G. intermedius* was assessed by comparing  
181 them to a benchmark set of universal single-copy Tetrapoda orthologs using BUSCO

182 v2 (Simão et al. 2015), which includes 3,950 genes.

183

#### 184 *Ortholog identification and alignment*

185 Data for nine additional vertebrates were downloaded from the NCBI ftp website  
186 (NCBI 2017) or literature-derived website, including the five-pacer viper  
187 (*Deinagkistrodon acutus*), the king cobra (*Ophiophagus hannah*), the Burmese python  
188 (*Python bivittatus*), the green anole lizard (*Anolis carolinensis*), the American  
189 alligator (*Alligator mississippiensis*), chicken (*Gallus gallus*), the western painted  
190 turtle (*Chrysemys picta bellii*), human (*Homo sapiens*), and the western clawed frog  
191 (*Xenopus tropicalis*). Detailed assembly version information of these species or data  
192 sources are provided in Table S1.

193 We used the OrthoFinder2 method (Emms and Kelly 2015) to identify putative  
194 orthologous groups for the 11 species examined in this study. This method has been  
195 demonstrated to be more accurate and faster than other similar methods (Li et al. 2003;  
196 Emms and Kelly 2015). Prior to the OrthoFinder2 analysis, we first extracted the  
197 longest isoform as representative sequence for each gene to generate a nonredundant  
198 protein set for each species. We ran OrthoFinder with default parameters using the  
199 all-versus-all DIAMOND (Buchfink et al. 2015). To construct the repertoire of gene  
200 families for each species, the single copy orthologs and paralogs in each orthogroup  
201 were assigned into each species. For the single-copy genes, we assigned gene IDs based  
202 on annotated genomes. In cases of ID conflict, which were rare, we adopted the  
203 majority rule to assign IDs. When conflict occurred for genes of interest, we further  
204 blasted the genes against the SwissProt or Nr Database to ensure that correct IDs were  
205 assigned. Amino-acid sequences were aligned using MAFFT (v7.427) with its default  
206 parameters (Kato et al. 2013), and then were converted into corresponding codon  
207 alignments using PAL2NAL (Suyama et al. 2006). Only single copy orthologs were  
208 used for downstream analysis.

209



210 *Phylogenomic tree construction*

211 The fourfold degenerate (4D) sites, where all mutations produce synonymous changes,  
212 from the concatenated dataset were extracted and used in phylogenomic  
213 reconstruction. This was to reduce potential detrimental effects from several  
214 confounding factors such as non-phylogenetic signals (Misof et al. 2013) and natural  
215 selection (Edwards 2009). The concatenated 4D site dataset had 267,162 sites, with  
216 171,047 parsimony informative sites, 71,993 singletons, and 24,122 constant sites.  
217 PartitionFinder (Lanfear et al. 2012) divided the dataset into 268 subsets of 1,000 sites  
218 and determined the best-fit partitioning scheme of these subsets and the optimal  
219 model of evolution for each partition, based on a greedy search with RAxML8  
220 (Stamatakis 2014) and the Bayesian Information Criterion (BIC). The partition and  
221 model of evolution were subsequently used in a maximum likelihood (ML) analysis.  
222 RAxML was used with the best-scoring tree search and a nonparametric bootstrapping  
223 (1000 pseudo-replicates).

224

225 *Estimation of divergence time and evolutionary rate*

226 We estimated the divergence time between the Shedao pit-viper and the black  
227 eyebrow pit-viper using a relaxed molecular clock Bayesian method implemented in  
228 the MCMCTREE program (Yang and Rannala 2005; Dos Reis and Yang 2013).  
229 Calibration time of each major node was collected from the TimeTree database  
230 (<http://www.timetree.org/>). The specific priors on the calibration nodes are provided  
231 in Table S2. Multiple calibration points were used to ensure more realistic divergence  
232 time estimates (Donoghue et al. 2007). The overall substitution rate (rgene gamma)  
233 and rate-drift parameter (sigma2 gamma) were set as G (1, 4) and G (1, 4.5),  
234 respectively. Trees were generated using the birth-death process with species  
235 sampling (birth rate  $\lambda$ , death rate  $\mu$ , and sampling fraction  $\rho$  were set to 1, 1 and 0.1).  
236 Additionally, we calculated the absolute rate of molecular evolution based on the 4D  
237 sites using the r8s program (Sanderson 2003). The penalized likelihood method and

238 TN algorithm were used to accommodate rate heterogeneity. Furthermore, we  
239 calculated the numbers of nonsynonymous and synonymous substitutions for the  
240 concatenated data and individual genes from each snake species using the free ratio  
241 branch model in the CODEML program implemented in PAML v4.9 (Yang 2007).  
242 The difference in dS across genes between the Shedao pit-viper and the black  
243 eyebrow pit-viper was examined using the Wilcoxon rank sum test.

244

#### 245 *Identification of genes under positive selection (PSGs)*

246 We tested for evidence of positive selection in the Shedao pit-viper through  
247 single-copy orthologs using the branch-site model in CODEML, which compared the  
248 likelihood of a modified alternative model A (model = 2, NSsites = 2,  $\omega$  not fixed to 1)  
249 and the likelihood of the corresponding null model with  $\omega$  fixed to 1. The lineage of  
250 the Shedao pit-viper was defined as the foreground branch on the species tree. A  
251 likelihood ratio test (LRT) was used to determine whether the alternative (selection)  
252 model fitted the data significantly better than the null (neutral) model. The false  
253 discovery rate (FDR; Benjamini and Hochberg 1995) method was applied to correct  
254 for multiple tests. For a gene, if the selection model has a significantly higher  
255 likelihood value than the neutral model does (FDR-adjusted  $p$ -value < 0.05), the gene  
256 is considered of having experienced positive selection along the foreground branch.  
257 For all genes with evidence of positive selection, we visually inspected and manually  
258 corrected their alignments. Alignments containing one or more significantly longer  
259 sequences, which created long gaps, were dropped under the assumption that  
260 homologous genes should be conserved. Minor adjustments were also applied to some  
261 gaps by shifting them to more appropriate locations. All analyses were repeated for  
262 the corrected alignments.

263

#### 264 *Test for convergent/parallel evolution*

265 Convergent and parallel evolution are often considered evidence of adaptation (Castoe  
266 et al. 2009), and we examined patterns of convergent and parallel evolution between  
267 the Shedao pit-viper and two other vertebrates with extended hibernation and  
268 aestivation, the American alligator and the western painted turtle. When two species  
269 share the same amino acid residue, if both are independently derived from different  
270 ancestral residues, these changes are defined as “convergent”, and if both are  
271 independently derived from the same ancestral amino acid residue, these changes are  
272 defined as “parallel” (Zhang and Kumar 1997). We tested patterns of convergent and  
273 parallel at both the whole genome and individual gene levels. Ancestral sequences  
274 reconstruction was carried out using CODEML. The inferred most likely amino acids  
275 for the hypothetical common ancestors were extracted from the CODEML output.

276 For the whole genome level tests, we first calculated the expected number of  
277 convergent and/or parallel substitutions based on random chance (the "null") between  
278 every pair of branches using a model-based likelihood method (Castoe et al. 2009;  
279 Zou et al. 2015). The JTT- $F_{\text{site}}$  model was used, which provided a relatively high  
280 estimate of the null and hence was a conservative estimation of convergent evolution  
281 (Zou et al. 2015). If the observed numbers of convergent/parallel substitutions are  
282 significantly higher than the null, we would conclude a genome wide convergent or  
283 parallel evolution. Furthermore, the observed numbers of convergent/parallel  
284 substitutions are expected to be positively correlated with the levels of divergence  
285 (Castoe et al. 2009; Thomas et al. 2015). We plotted the observed numbers of  
286 convergent/parallel sites against the number of divergent sites between each pair of  
287 branches. The best fit regression line would serve as an empirical null distribution,  
288 and we used the distribution to test if there was excessive amount of  
289 convergent/parallel sites.

290 For the individual gene level tests, we conducted two pair-wise comparisons  
291 between the Shedao pit-viper and the American alligator and between the Shedao  
292 pit-viper and the western painted turtle. All three species have prolonged

293 immobilization and dormancy (Shelton 1934; MacCulloch and Secoy 1983). We first  
294 identified amino-acid positions with changes between the two species of each pair.  
295 Genes with identified convergent and/or parallel substitutions were subject to Zhang  
296 and Kumar's test (Zhang and Kumar 1997), which calculated the probability that the  
297 observed convergent and/or parallel substitutions could be attributed to random  
298 chance based on a substitution model. Program CONVERG2 (Zhang and Kumar 1997)  
299 was used for this analysis with JTT-F<sub>gene</sub> model. Similar to PSGs, additional quality  
300 control of alignments for genes with convergent/parallel patterns was performed to  
301 rule out mis-alignment issues that might generate these patterns.

302

### 303 *Detection of Shedao pit-viper specific amino acid substitution*

304 If the amino acid residues on one site are constant in all species except the Shedao  
305 pit-viper, this substitution is defined as a Shedao pit-viper specific amino acid  
306 substitution. We used a conserved algorithm implemented in PROVEAN (Choi and  
307 Chan 2015) to predict the possible effects of these specific substitutions. Variants  
308 predicted as "deleterious" were considered to affect protein functions. To investigate  
309 the potential force driving these specific amino acid substitutions, we employed the  
310 free ratio branch model in the CODEML program to estimate dN/dS ratios.

311

### 312 *Data availability statement*

313 The transcriptome data of the Shedao pit-viper (*Gloydus shedaoensis*) and the black  
314 eyebrow pit-viper (*G. intermedius*), including raw data and assemblies, are available  
315 at NCBI (accession number: PRJNA507957). All supplemental materials are available  
316 at figshare (doi: 10.1534/g3.119.400787).

317

## 318 **Results**

### 319 *Assembly and quality assessment*

320 Reads were assembled into 289,801 and 182,076 transcripts for the Shedao pit-viper  
321 and the black eyebrow pit-viper, respectively. Contig N50 was 1,470 base pairs (bp)  
322 and 1,236 bp, respectively, and detailed assembly statistics are provided in Table 1.  
323 Redundancy-minimized datasets were generated by retaining only the longest ORFs  
324 for each “gene”. The final data set for downstream analysis contained 31,803 ORFs  
325 with an N50 of 1,413 bp for the Shedao pit-viper and 37,320 ORFs with an N50 of  
326 1,032 bp for the black eyebrow pit-viper. BUSCO analysis revealed that the Shedao  
327 pit-viper transcriptome contained ~92% of the 3,950 tetrapod universal single-copy  
328 orthologs (full length: 78.6%; partial fragments: 13.4%). Similarly, the black eyebrow  
329 pit-viper contained ~84.2% of the BUSCO single-copy genes (full length: 62.6%;  
330 partial fragments: 21.6%). These data suggested that most genes were well assembled.  
331

### 332 *Orthogroups inference and alignments*

333 OrthoFinder assigned 213,192 genes (85.9% of total genes from all 11 species  
334 considered) to 20,193 orthogroups. Fifty percent of all genes were in orthogroups with  
335 11 or more genes ( $G_{50} = 11$ ) and were in the largest 6,823 orthogroups ( $O_{50} = 6,823$ ).  
336 There were 7,106 orthogroups with all species present and 2,893 of these consisted  
337 entirely of single-copy genes. For all downstream analysis, we examined only these  
338 single-copy genes. The amino-acid alignments and corresponding codon alignments  
339 of these genes were generated, and a concatenated dataset was created from these  
340 alignments.

341

### 342 *Phylogenomic tree*

343 The best-fit partitioning scheme divided the super-matrix into 15 partitions, and the  
344 GTR+G model was selected for each subset. The ML analysis resulted in one optimal  
345 tree with strong bootstrap support for all interior nodes (100%). The Shedao pit-viper  
346 was sister to the black eyebrow pit-viper, and together with the five-pacer viper, they

347 formed a monophyletic group (Figure 1). This species tree is consistent with the  
348 well-established vertebrate phylogeny.

349

#### 350 *Divergence time and evolutionary rate*

351 The Shedao pit-viper diverged from its mainland relative, the black eyebrow pit-viper,  
352 at approximately 2.5 million years ago (MYA; 95% confidence interval: 0.8-6.8  
353 MYA). The Shedao Island was separated from the mainland at approximately one  
354 million years ago (Li 1995). The speciation process of the Shedao pit-viper was likely  
355 initiated before the formation of the island. All other divergence time estimates are  
356 present in supplementary material Figure S1. The estimated absolute rates of  
357 nucleotide substitution for all species are provided in Table 2. The Shedao pit-viper  
358 had a rate of  $9.68E-04$  substitutions per site per million years, which was much lower  
359 than its mainland relative, the black eyebrow pit-viper ( $1.40E-03$ ). The substitution  
360 rate of the Shedao pit-viper was similar to that of the American alligator ( $8.23E-04$ ),  
361 which also has prolonged hibernation, suggesting that metabolic suppression induced  
362 by long-term inactivity might have caused the rate reduction in the Shedao pit-viper.  
363 In addition, for the concatenated data set, the numbers of non-synonymous (dN:  
364 0.00038) and synonymous substitutions (dS: 0.00243) per site and the dN/dS ratio  
365 (0.165) of the Shedao pit-viper were also much lower than those of the black eyebrow  
366 pit-viper (0.00189, 0.00485, and 0.390, respectively). The numbers of dS across genes  
367 in the Shedao pit-viper were significantly lower than these in black eyebrow pit-viper  
368 (Wilcoxon rank sum test,  $W = 110794$ ,  $p = 0.0187$ ; Wilcoxon 1945).

369

#### 370 *Genes under positive selection*

371 We initially detected two genes (*SERPINC1* and *AARS*) with evidence of positive  
372 selection (PSGs) along the Shedao pit-viper lineage (Table S3 and File S1). Only  
373 *SERPINC1* (Serine Proteinase Inhibitor, Clade C, Member 1) remained significant  
374 after adjustment for multiple tests using FDR (adjust p-value~0), while *AARS* was

375 above the significant threshold (Table S3). The detection power was low since many  
376 comparisons were made. Both genes had two unique amino acid substitutions (D38A  
377 and R438D for SERPINC1; A269V and T941S for AARS) that were likely associated  
378 with the positive selection signals in the Shedao pit viper. *SERPINC1* is the most  
379 important serine protease inhibitor in plasma that regulates the blood coagulation  
380 cascade (Szabo et al. 2005). *AARS* (Alanyl-TRNA Synthetase) encodes alanyl-tRNA  
381 synthetase, and mutations in *AARS* may lead to muscle weakness and atrophy (Latour  
382 et al. 2010).

383

#### 384 *Convergent and parallel evolution*

385 We did not detect a genome-wide pattern of convergent or parallel evolution. In all  
386 cases, the observed numbers of convergent and parallel substitutions for all pairwise  
387 comparisons were smaller than the expected numbers under random expectation.  
388 Furthermore, we detected a significant positive correlation between the number of  
389 convergent/parallel substitutions and the number of divergent substitutions as  
390 expected ( $r^2 = 0.9671$ ,  $p < 2.2e-16$ ; Figure 2). The observed numbers between the  
391 three species with extended hibernation and aestivation were expected at their levels  
392 divergence, and no excessive convergent and/or parallel substitutions were detected  
393 (Figure 2).

394 At the individual genes level, 18 genes were identified as having convergent or  
395 parallel substitutions between the Shedao pit-viper and the western painted turtle or  
396 between the Shedao pit-viper and the American alligator (Table S4, S5 and File S2).  
397 Among them, the convergent substitutions in three genes and parallel substitution in  
398 13 genes were significantly more than random chance events (Zhang and Kumar's test;  
399  $p < 0.05$ ). *KLF10* (Kruppel Like Factor 10) was a convergent gene between the Shedao  
400 pit-viper and the American alligator ( $p = 0.001261$ ). It is a transcriptional repressor  
401 and regulates the circadian clock (Blok et al. 1995). *ACAT1* (Acetyl-CoA  
402 acetyltransferase 1) possessed both convergent and parallel substitutions between the

403 Shedao pit-viper and the American alligator. It encodes an enzymes that catalyzes the  
404 last step of the fatty acid beta-oxidation pathway (Fukao et al. 1991). *PRSS35* (Serine  
405 Protease 35), a critical ovarian protease conserved throughout the course of vertebrate  
406 evolution, possessed parallel substitutions between the Shedao pit-viper and the  
407 American alligator ( $p = 0.043295$ ), as well as between the Shedao pit-viper and the  
408 western painted turtle ( $p = 0.04934$ ) at the same amino acid site (Table S5).

409

#### 410 *Shedao pit-viper specific amino acid substitutions*

411 Two specific amino acid substitutions were observed in the *CRY2* gene (A318T and  
412 R512H) in the Shedao pit-viper (File S3). *CRY2* (Cryptochrome Circadian Regulator 2)  
413 acts as transcription repressors within the circadian clockwork (Reppert and Weaver  
414 2002) and plays a pivotal role in the generation and maintenance of circadian rhythms  
415 (Klarsfeld et al. 2004). The black eyebrow pit-viper maintained the same amino acid  
416 residues as all other species examined in this study. A total of 182 sequences of *CRY2*  
417 in PROVEAN were used to generate prediction of functional effects of those Shedao  
418 pit-viper specific amino acid substitutions. The A318T variant was predicted to have a  
419 "deleterious" effect with a PROVEAN score of -3.009 (the predefined threshold =  
420 -2.5). The R512H variant was predicted to have a "neutral" effect with a score of  
421 -2.053 (Figure 1). In addition, free ratio model presented the highest value of dN/dS  
422 along the Shedao pit-viper branch (1.078; Figure 1) for *CRY2*, implying positive  
423 selection or relaxed negative selection have likely driven the evolution of *CRY2* in  
424 this species with prolonged dormancy.

425 The *AARS* gene, which is also under positive selection, had two Shedao pit-viper  
426 specific amino acid substitutions (A269V and T941S). *AARS* is associated with  
427 muscle weakness and atrophy (Latour et al. 2010), and interestingly, the two  
428 substitutions were identified as under positive selection by PAML branch-site models  
429 with Bayes Empirical Bayes (BEB) analysis. In addition, the A269V variant was  
430 predicted to have a "deleterious effect" on protein function in PROVEAN (Figure 1).



431 A third gene, *MKKS* (McKusick-Kaufman Syndrome), also contained two specific  
432 amino acid substitutions (S2F and S58A) and S2F likely had a “deleterious effect”.  
433 The gene acts as a chaperonin that helps in folding other proteins. The encoded  
434 protein may play an important role in the formation of limbs, heart, and reproductive  
435 system, and mutations in this gene may result in congenital heart defects (Scott et al.  
436 2017).

437

### 438 **Discussion**

439 Here we investigated the sedentary lifestyle of the Shedao pit-viper with extreme food  
440 seasonality and detected several important and interesting genetic patterns. The  
441 evolutionary rate of the Shedao pit-viper is greatly reduced compared to its mainland  
442 relative, the black eyebrow pit-viper. Two genes (*SERPINC1* and *AARS*) bear signals  
443 of positive selection along the Shedao pit-viper lineage, and 16 genes (including  
444 *ACAT1*, *KLF10*, and *PRSS35*) present convergent and/or parallel substitutions  
445 between the Shedao pit-viper and two other inactive vertebrates, the western painted  
446 turtle and the American alligator. In addition, *CRY2* and *AARS* genes have several  
447 Shedao pit-viper specific amino acid substitutions. Those patterns corroborated with  
448 each other and advanced our understanding of the genetic basis of several critical  
449 physiological traits related to the extreme sedentary lifestyle, including  
450 anti-thrombosis, energy derivation, circadian rhythm, and retention of muscle tone.

451 The Shedao pit-viper exhibits a much lower substitution rate relative to its  
452 mainland relative; this is possibly a consequence of its low metabolic rate and long  
453 period of immobilization. A previous radio-telemetric monitoring study indicated that  
454 their average daily displacement distance is less than 2 m (Shine et al. 2003). In  
455 comparison, other viperid species display movements of > 10 m/day and ranges of >  
456  $10^4$  m<sup>2</sup> (Macartney et al. 1988) and some rattlesnakes may have ranges up to  $2 \times 10^6$   
457 m<sup>2</sup> (Reinert and Zappalorti 1988). Variations in nucleotide substitution rates are  
458 strongly correlated with body size, metabolic rate (Martin and Palumbi 1993), and

459 generation time (Laird et al. 1969). Given the similar body size and generation time  
460 for the Shedao pit-viper and its sister species (Zhao 1980), the substantial difference  
461 in nucleotide substitution rates is likely caused by the depression in metabolic rate in  
462 the Shedao pit-viper (Donoghue et al. 2007; Liu 2008). Lower metabolic rate (and  
463 therefore lower rates of oxygen radical flux) reduces oxidative damage to DNA  
464 (Shigenaga et al. 1989; Fraga et al. 1990), which in turn decreases rate of DNA  
465 synthesis, cuts down errors of replication, depresses frequency of repair (Martin and  
466 Palumbi 1993). All these processes lead to low rate of nucleotide substitution. Not  
467 surprisingly, the American alligator and the western painted turtle, which have  
468 extended immobilization and hibernation (Shelton 1934; MacCulloch and Secoy  
469 1983), also showed low rates of neutral evolution (Table 2).

470 Several genes with evidence of positive selection, patterns of convergence or  
471 parallelism, or Shedao pit-viper specific amino acid substitutions, including  
472 *SERPINC1*, *ACAT1*, *KLF10*, *PRSS35*, *CRY2*, *MKKS*, and *AARS*, likely play important  
473 roles in the adaptation process of the Shedao pit-vipers to their sedentary lifestyle on  
474 the island. Extended immobilization may expose organisms to diverse stressors,  
475 including increased risk of thrombosis, energy deficiency, and muscle atrophy (van  
476 Breukelen et al. 2010). Substitutions in these genes may improve functions related to  
477 thrombosis and energy utilization, alleviate muscle atrophy, and facilitate the Shedao  
478 pit-vipers to cope with those adverse effects. For example, one gene under positive  
479 selection has function related to thrombosis. The protein coded by *SERPINC1* inhibits  
480 thrombin as well as other activated serine proteases of the coagulation system,  
481 including Thrombin, Factor Xa and Factor IXa (Bedsted et al. 2003). Mutations of  
482 *SERPINC1* in the Shedao pit-viper may increase antithrombin activity and  
483 antithrombin concentration in the blood, which can prevent formation of blood clots  
484 during extended immobilization.

485 The *AARS* gene involves muscle function. It contains two Shedao pit-viper  
486 specific amino acid substitutions, and both bear signals of positive selection and one

487 variant likely impacts protein function. As a pathogenic gene, mutations in *AARS* may  
488 cause Charcot-Marie-Tooth disease 2N (CMT2N), characterized by progressive  
489 weakness and atrophy (Latour et al. 2010), and early infantile epileptic  
490 encephalopathy-29 (EIEE29), characterized by refractory seizures,  
491 neurodevelopmental impairment, and poor prognosis (Simons et al. 2015). The  
492 observed substitutions in *AARS* may minimize degradative pathways of muscle  
493 atrophy and help Shedao pit-vipers to preserve their muscle functions.

494 Several genes involve internal clock and rhythm. As a core component of internal  
495 time-keeping system, the *CRY2* gene has two Shedao pit-viper specific amino acid  
496 substitutions. *CRY2* plays a photoreceptive role; it also regulates various physiological  
497 processes through sustaining an approximately 24 hour circadian rhythms in gene  
498 expression and acting on the CLOCK:BMAL1 heterodimer, which modulates rhythms  
499 in metabolism and behavior (Vitaterna et al. 1999; Klarsfeld et al. 2004 ). Circadian  
500 rhythms interact with the environment and help individual to maintain a harmonious  
501 relationship with environment. *CRY2* mutants may alter circadian rhythms and stop  
502 mRNA cycling (Emery et al. 1998). These Shedao pit-viper specific substitutions in  
503 *CRY2* could be an adaptive consequence of lone-term sedentary life on island. The  
504 *KLF10* gene, which has convergent substitutions between the Shedao pit-viper and the  
505 American alligator, binds to the promoter of the core clock component  
506 ARTNL/BMAL1 and plays a role in the regulation of the circadian clock. It is involved  
507 in the process of cellular response to starvation by regulating the circadian expression  
508 of genes involved in lipogenesis, gluconeogenesis, and glycolysis in liver (Blok et al.  
509 1995). It is a link between the circadian clock and metabolism in liver, which is  
510 important for the Shedao pit-viper to cope with the scarce food resource on island.

511 An energy derivation related gene, *ACAT1* has one convergent substitution  
512 between the Shedao pit-viper and the American alligator. The encoded protein is  
513 associated with a key catalytic step of mitochondrial beta-oxidation pathway, an  
514 important part of energy supply. The Shedao pit-vipers feed exclusively on migratory

515 birds in spring and fall, and they often fast for months (Li 1991). Modifications in  
516 fatty acid beta-oxidation process likely help Shedao pit-vipers to survive the island  
517 life.

518

### 519 **Conclusion**

520 Our analyses revealed several important genetic signals in the Shedao pit-viper that  
521 likely represent adaptation to an extreme sedentary lifestyle with seasonal food  
522 availability. Positive selection was detected in two genes involved in antithrombin  
523 (*SERPINC1*) and muscle atrophy (*AARS*). Multiple Shedao pit-viper specific amino  
524 acid substitutions were observed in core clock component *CRY2* and *AARS*.

525 Convergent and parallel substitutions were found in a fatty acid beta-oxidation related  
526 gene (*ACATA1*) and circadian gene (*KLF10*). We also detected a low rate of evolution  
527 in the Shedao pit-viper. These genetic signals suggest that critical physiological traits  
528 related to thrombosis, energy source, circadian clock, and muscles atrophy are  
529 important in the adaptation process to the sedentary life of the Shedao pit-viper.

530 Future research along these directions should be fruitful.

531

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541

542

543 **Tables**

544 Table 1 Transcriptome assembly information.

Species	<i>Gloydus shedaoensis</i>	<i>G. intermedius</i>
Total number of transcripts	289801	182076
Total number of trinity 'genes'	237412	155080
Total assembled bases	214371261	136100636
Percentage of GC (%)	43.68	45.11
Contig N50 (bp)	1470	1236
Median contig length (bp)	347	396
Average contig length (bp)	739.72	747.49

545

546 **Table 2.** The estimated nucleotide substitution rates of the 11 species examined in this

547 study. The rate unit is number of substitutions per site per million years.

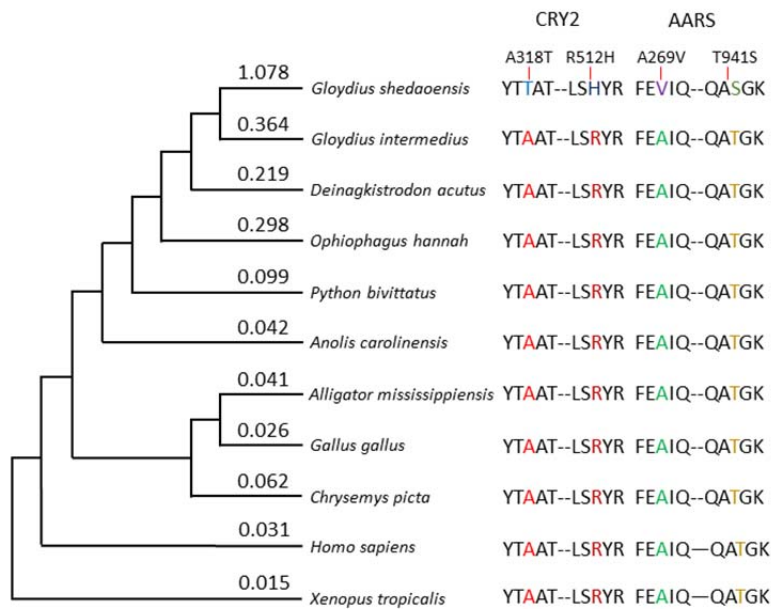
Species	Evolutionary Rate
<i>Chrysemys picta</i>	5.70E-04
<i>Deinagkistrodon acutus</i>	7.00E-04
<i>Python bivittatus</i>	7.58E-04
<i>Alligator mississippiensis</i>	8.23E-04
<i>Gloydus shedaoensis</i>	9.68E-04
<i>Gloydus intermedius</i>	1.40E-03
<i>Ophiophagus hannah</i>	1.48E-03
<i>Gallus gallus</i>	1.62E-03
<i>Anolis carolinensis</i>	1.73E-03
<i>Xenopus tropicalis</i>	1.74E-03
<i>Homo sapiens</i>	1.91E-03

548

549

550 **Figure legends**

551



552

553 **Figure 1.** A phylogeny inferred from a maximum likelihood analysis of partitioned  
 554 fourfold degenerate sites. Numbers above branches are estimated dN/dS ratios for  
 555 *CRY2*. Four Shedao pit-viper specific amino acid substitutions in the *CRY2* and *AARS*  
 556 genes are identified. A318T and A269V are predicted to affect protein functions and  
 557 A269V and T941S are under positive selection.

558

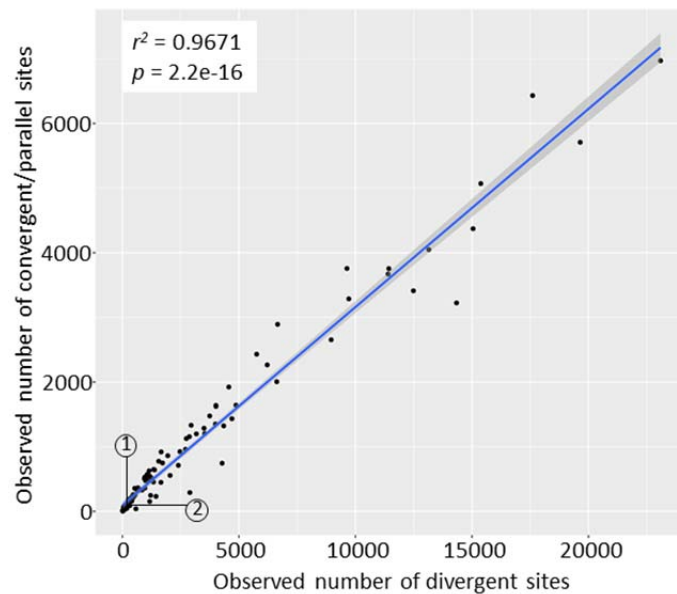
559

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565

566 **Figure 2.** Correlation between observed number of divergence sites and observed  
567 number of convergent and parallel sites. Each data point represents one pairwise  
568 comparison between two branches, including both exterior and interior branches. Data  
569 point 1 = the Shedao pit-viper vs the western painted turtle; data point 2 = the Shedao  
570 pit-viper vs the American alligator.

571

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