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# **The subsistence patterns of the Shengedaliang site (~4,000 yr BP) revealed by stable carbon and nitrogen isotopes in northern Shaanxi, China**

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**Abstract** In order to explore subsistence patterns in northern Shaanxi Province around 4,000 yr BP, <sup>28</sup> human and <sup>24</sup> animal bones from the Shengedaliang site were sampled for stable carbon and nitrogen isotope ratio analysis. The results show that most people primarily subsisted on <sup>C</sup><sup>4</sup> resources, e.g. millet and millet-related animal products, despite the fact that there was some intake of  $C_3$  plants by some individuals. Stable nitrogen isotope values indicate that there were differences in meat consumption between individuals at the site. Pigs were mainly foddered with millet and millet byproducts, as well as some cattle, according to their high  $\delta$ <sup>13</sup>C values. However, most cattle and the sheep/goats consumed wild C<sub>3</sub> plants at Shengedaliang. Our above findings indicates that subsistence patterns in northern Shaanxi around 4,000 yr BP were characterized by millet farming, while the grassland animal husbandry, e.g. cattle and sheep/goats raising, displayed very little contribution to local economy. The intensive millet farming in northern Shaanxi provided enoug<sup>h</sup> food for population growth, ensured the accumulation of wealth, and consequently accelerated social differentiation and complexity.

**Keywords** Northern Shaanxi, 4,000 yr BP, Shengedaliang, Stable carbon and nitrogen isotope, Subsistence patterns

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# **1. Introduction**

There has been an increasing interest in understanding the connections between technology/economy development and the transition of archaeological cultures for the formation of state-level societies and early civilization in Chinese prehistory. It is debated that technology and economy patterns to some extent might influence the trajectory and process of social evolution (Yuan, [2009a](#page-8-0), [2009b](#page-8-0)). Therefore, to investigate subsistent economy in specific regions is critical for further understanding the culture evolution and its possible drivers, which is one of key issues for the exploration of the formation process and impetus of early Chinese civilization.

Northern Shaanxi Province is located on the south of the farming-pastoral transitional zone, which is between the semi-arid and semi-humid regions in northern China. The environmental condition and soil productivity here were inferior in comparison with those in the Guanzhong Basin in the south, however, field surveys have documented <sup>a</sup> large number of archaeological sites around 4,000 yr BP in northern Shaanxi. Take Yulin city in the north Shaanxi

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Province as an example, the number of archaeological sites of the Longshan period were two times larger than that of the Yangshao period, and some large scale sites emerged, such as Shimao, Zhaomao, Xinhua, among which Shimao was the most important (State [Administration](#page-7-0) of Cultural Heritage, [1998\)](#page-7-0). The large scale of this site  $(4,000,000 \text{ m}^2)$ , skilled construction technique as well as an integrated defense system made Shimao an outstanding site among Neolithic walled settlements in East Asia (Sun and [Shao,](#page-7-0) 2016). This indicates that local sociopolitical organization and early civilization in north Shaanxi had evolved to <sup>a</sup> high level.

The cultural upheaval in northern Shaanxi coincided with sudden increase of Neolithic technology and socioeconomic system (Sun and [Shao,](#page-7-0) 2016). In fact, food-producing technology and <sup>a</sup> subsistence economy were fundamental factors sustaining human activities and hence would undoubtedly influence the sociopolitical situation. Therefore, it is important to investigate subsistence transition processes of local Neolithic communities in order to ge<sup>t</sup> <sup>a</sup> comprehensive understanding of the cultural and societal changes. This will enrich our knowledge on subsistent economy process in agricultural-pastoral transition zone, and will also be helpful for understanding formation process of early civilization and corresponding drivers in such regions.

Previous studies over the pas<sup>t</sup> few years have provided independent evidence for human adaptations from the Yangshao to Longshan periods ([Wang](#page-8-0) et al., 2014). Stable isotopic data found that millet farming had achieved grea<sup>t</sup> progress since late the Yangshao period and millet food was used for <sup>p</sup>ig raising at the Wuzhuangguoliang site ([Guan](#page-7-0) et al., [2008](#page-7-0)). Consequently during the Longshan period, grassland husbandry emerged and began to be crucial par<sup>t</sup> in the local subsistence economy [\(Wang](#page-8-0) et al., 2014) and abundant cattle and sheep/goat bones were observed at sites including Huoshiliang (Hu et al., [2008](#page-7-0)), Xinhua [\(Xue](#page-8-0) et al., [2005\)](#page-8-0), which might have resulted from interactions with the Qijia and other archaeological cultures of the surrounding regions (Ma, [2009\)](#page-7-0). However, it appears that people here still subsisted on millet agriculture according to stable carbon and nitrogen isotope studies on Muzhuzhuliang and other sites ([Atahan](#page-7-0) et al., 2014; Chen et al., [2015\)](#page-7-0). While grea<sup>t</sup> contributions have been made to interactions between archaeological cultures (Wei, [2000](#page-8-0); Han, [2007](#page-7-0); Ma, [2009](#page-7-0)), it is still <sup>a</sup> topic of continuing research before well knowing subsistent practices around 4,000 yr BP in northern Shaanxi. In this work, stable carbon and nitrogen isotope analysis was conducted on human and animal bones from Shengedaliang at Shenmu County to reconstruct their diets. Independent and direct dietary evidence at the site and regional levels through comparison to published research are also provided for <sup>a</sup> discussion of local adaptations and subsistence economy around 4,000 yr BP.

# **2. Material and methods**

### **2.1 The study site**

The Shengedaliang site (38°38′N, 109°56′E) is located <sup>5</sup> km in the southwest of the town of Dabaodang, very close to the Tuwei River (Figure 1). Excavations in <sup>2013</sup> and <sup>2014</sup> uncovered <sup>28</sup> tombs, <sup>13</sup> house foundations, as well as <sup>57</sup> trash <sup>p</sup>its, and many bones, pottery sherds and stone tools. It is believed that there was no special burial area, since graves were interspersed in the living area, among house foundations and trash <sup>p</sup>its. All graves were long and rectangular <sup>p</sup>its dug into the earth. Grave M7 was the largest with two individuals buried inside. One was <sup>a</sup> male in extended supine position buried in the middle with inner and outer coffins, the other was <sup>a</sup> young female lying on the right side of and facing the male. <sup>A</sup> niche was found on the right of the grave wall and



**Figure <sup>1</sup>** Geography of Shengedaliang site and study region.

this contained: <sup>3</sup> pots, <sup>1</sup> jar, <sup>1</sup> basin, and <sup>1</sup> tripod Jia. In grave M8 <sup>a</sup> dead <sup>p</sup>ig and <sup>a</sup> couple of stone tools were found to the right of the burial. Apart from these graves, no additional grave goods were found, which could be an indication of social differentiation in this community (Shaanxi Provincial Academy of Archaeology et al., 2016). The archaeologists sugges<sup>t</sup> that the Shengedaliang site is related to the Yongxingdian-Dakou II culture based on pottery typology research. AMS radiocarbon dating of M7 male indicates an age of 3,825–3,615 cal yr BP  $(2\sigma)$ , which is in accordance with the typology research (Personal communication with Dr. Yan Wu from IVPP).

#### **2.2 Samples and methods**

<sup>28</sup> human bones belonging to <sup>25</sup> graves and <sup>1</sup> trash <sup>p</sup>its, <sup>1</sup> dog, <sup>7</sup> <sup>p</sup>igs, <sup>6</sup> cattle and <sup>11</sup> sheep/goats were selected for this study ([Table](#page-3-0) 1). Small fragments of long bones and ribs were sampled. It has been demonstrated that there are differences in the turnover rates between different bones. For example, femoral and humeral turnover rates for adults are more than <sup>10</sup> years, while those of ribs represen<sup>t</sup> only 2–5 years ([Cox](#page-7-0) and [Sealy,](#page-7-0) 1997). Despite of two ribs, most of our samples were from long bones, the isotopic data of our samples thus would not show <sup>a</sup> strong bias towards population paleodiet of Shengedaliang.

Collagen was isolated using the protocol described by [Jay](#page-7-0) et al.  $(2008)$  with some modifications.  $\sim$ 1 g bones were mechanically cleaned and demineralised in 0.5 mol <sup>L</sup>−1 HCl at 5°C. Afterwards, the remains were washed into neutrality with deionised H<sub>2</sub>O and immersed in 0.0125 mol L<sup>-1</sup> NaOH at room temperature for <sup>20</sup> h. The remains were washed again into neutrality and <sup>p</sup>lunged into HCl solution (pH=3) at 70°C for <sup>48</sup> <sup>h</sup> to make the bone gelatinised. After the filtration, the solution was frozen and freeze-dried to ge<sup>t</sup> the collagen. The collagen <sup>y</sup>ield (%) was calculated as the collagen weight divided by the bone weight.

The purified collagen was measured at the Archaeological Isotope Lab of the University of Chinese Academy of Sciences using an Isoprime <sup>100</sup> IRMS coupled with the Elementar Vario PYRO cube. The stable isotope ratios were analyzed relative to internationally defined standards for carbon (Vienna Pee Dee Belemnite, VPDB) and nitrogen (Ambient Inhalable Reservoir, AIR). The analytical precision for  $\delta^{13}$ C was less than  $\pm 0.1\%$  and for  $\delta^{15}$ N was less than  $\pm 0.2\%$ . The element contents and isotope data of humans and animals are shown in [Table](#page-3-0) 1.

## **3. Results and discussion**

Stable carbon ( $\delta$ <sup>13</sup>C) and nitrogen ( $\delta$ <sup>15</sup>N) isotope ratios of bone collagen has become <sup>a</sup> routine method for investigating human and animal diets, for <sup>a</sup> detailed review to see ([Cai](#page-7-0) and Qiu, [1984](#page-7-0); [Zhang](#page-8-0) et al., 2003; Hu and [Wang,](#page-7-0) 2005). The %C (36.8–47.7%), %N (14.4–17.5%) and C:N (3.1–3.5) were within the acceptable limits for samples of collagen that are well preserved ([DeNiro,](#page-7-0) 1985; [Ambrose,](#page-7-0) 1990; van [Klinken,](#page-7-0) [1999\)](#page-7-0).

Based on ecological studies, the natural vegetation in northern China is predominated by  $C_3$  plants, and the abundance of <sup>C</sup><sup>4</sup> <sup>p</sup>lants is insignificant ([Tieszen](#page-7-0) et al., 1999; Gu et al., [2003](#page-7-0); Liu et al.,  $2011$ ). However, two typical  $C_4$  grains, foxtail and broomcorn millet, were cultivated as important staple foods since middle Neolithic in northern China. As <sup>a</sup> result, stable carbon isotope values are grea<sup>t</sup> helpful for evaluating the importance of millets and millet related food to human diet. Previous studies have shown that  $\delta^{13}$ C in modern C<sub>3</sub> plants is around –26.5‰ (O'Leary, 1981; [Wang](#page-7-0) <sup>G</sup> <sup>A</sup> et al., 2003, 2005), while that of foxtail and broomcorn millet is around  $-12.5\%$  ([Yang](#page-8-0) et al., 2011). Thus, humans consuming an exclusive  $C_3$  plant-related or millet diet would have  $\delta^{13}$ C values around –20.0‰ or –6.0‰ respectively, assuming the standard fractionation factor of  $+5%$  from plants to bone collagen ([van](#page-7-0) der Merwe and [Vogel,](#page-7-0) 1978; [Lee-Thorp](#page-7-0) et al., 1989) and <sup>a</sup> value of +1.5‰ resulted from the fossil fuel effect ([Marino](#page-7-0) and [McElroy,](#page-7-0) 1991).

### **3.1 Animal husbandry**

The  $\delta^{13}$ C values of the pigs  $(n=7)$  were between –9.5‰ and  $-7.2%$  and had mean result of  $-8.4\pm0.9%$  ([Table](#page-3-0) 1, [Figure](#page-4-0) [2\)](#page-4-0), indicating a typical  $C_4$  plant-based diet (millets). The  $\delta$ <sup>13</sup>C value of a single dog (–15.5‰) indicated it subsisted on a mixed  $C_3/C_4$  diet. The cattle  $(n=6)$  and sheep/goats  $(n=11)$  displayed mean  $\delta^{13}$ C values of  $-14.7\pm1.4%$  and –16.0±0.8‰, respectively. An ANOVA test (*P*=0.04<0.05) found a significant difference of  $\delta^{13}$ C values between them and suggested millet byproducts overall were more important to cattle than sheep/goats. When checking isotopic data one by one, however, we found most cattle displayed similar *δ* 13 <sup>C</sup> value to sheep/goats indicative of <sup>a</sup> diet predominated by <sup>C</sup><sup>3</sup> <sup>p</sup>lants with the exception of SGDL23 and SGDL26, which inevitably consumed more  $C_4$  plants. The different diets between the two and other cattle as well as sheep/goats might indicate two different raising practices of herbivore domesticates, namely shelter feeding and free foraging ([Chen](#page-7-0) et al., [2012](#page-7-0)).

Dog had the highest  $\delta^{15}$ N value (9.4‰) and the omnivorous <sup>p</sup>igs ranked the second with an average value of 7.7±0.8‰. This indicates their occasional consumption of animal protein, probably resulting from scavenging kitchen refuses and leftovers ([Pechenkina](#page-7-0) et al., 2005; Guan et al., [2011](#page-7-0)). The  $\delta$ <sup>15</sup>N values of cattle (6.5±0.5‰) and sheep/goats (6.3±1.2‰) were in accordance with <sup>a</sup> herbivorous diet in temperate re<sup>g</sup>ions (Bösl et al., [2006\)](#page-7-0).

Pigs are the typical indigenous domesticate in East Asia,

<span id="page-3-0"></span>**Table 1** Stable isotope results and details of human and animal bones from the Shengedaliang site

Lab No.	Context	Species	Element	Collagen content	$\delta^{13}C$ (%o)	$\delta^{15}N$ (%o)	C(%)	N(%)	$\mathrm{C/N}$
SGDL1	M1	Human	R. radius	10.2%	$-7.3$	9.1	46.5	16.9	$3.2\,$
$\operatorname{SGDL2}$	M2, S	Human	R. ulna	5.1%	$-8.5$	6.3	44.6	16.3	$3.2\,$
SGDL3	M2, N	Human	R. radius	5.3%	$-8.7$	6.5	45.7	16.7	$3.2\,$
$\operatorname{SGDL4}$	M <sub>4</sub>	Human	L. collarbone	6.1%	$-8.9$	10.8	46.7	17.2	$3.2\,$
SGDL5	M <sub>5</sub>	Human	R. tibia	3.9%	$-8.6$	$6.2\,$	44.7	16.2	$3.2\,$
SGDL6	$\mathbf{M6}$	$\operatorname{Human}$	R. tibia	2.6%	$-8.5$	10.0	43.8	15.9	$3.2\,$
$\operatorname{SGDL7}$	M7, F	Human	Phalange	6.0%	$-7.2$	$9.0\,$	46.6	17.1	$3.2\,$
$\operatorname{SGDL8}$	M7, M	Human	Phalange	11.1%	$-8.7$	9.7	47.7	17.5	$3.2\,$
SGDL9	M8	Human	Phalange	6.3%	$-8.1$	9.7	46.5	16.9	$3.2\,$
SGDL10	M9	Human	R. ulna	2.3%	$-9.7$	9.1	42.0	15.2	$3.2\,$
SGDL11	$\rm M10$	Human	R. radius	2.9%	$-10.1$	10.7	45.2	16.3	$3.2\,$
SGDL12	M12	Human	L. tibia	2.8%	$-7.7$	$9.8\,$	45.5	16.2	$3.3\,$
SGDL13	M13	Human	L. collarbone	3.0%	$-7.2$	7.8	46.7	16.9	$3.2\,$
SGDL14	H2	Human	R. radius	2.7%	$-7.7$	9.3	46.6	17.0	$3.2\,$
SGDL15	H <sub>24</sub>	Dog	L. mandible	1.5%	$-15.5$	9.4	45.4	16.4	$3.2\,$
SGDL16	${\rm F}1$	Pig	R. mandible	2.8%	$-7.6$	8.2	44.7	16.3	$3.2\,$
SGDL17	${\rm F}1$	Pig	R. mandible	4.8%	$-9.5$	8.5	47.5	16.3	3.4
SGDL18	H22	Pig	L. mandible	2.6%	$-7.2$	7.5	45.2	16.3	$3.2\,$
SGDL19	H3 <sub>①</sub>	Pig	L. mandible	7.6%	$-8.6$	$7.5\,$	46.6	16.9	$3.2\,$
SGDL20	H40 <sub>①</sub>	Pig	L. maxilla	9.7%	$-9.0$	$6.2\,$	46.9	17.1	$3.2\,$
SGDL21	H2@	Pig	L. ulna	3.0%	$-8.8$	$8.3\,$	42.8	15.4	$3.2\,$
SGDL22	H48 <sup>3</sup>	Cattle	Phalange	2.0%	$-15.1$	6.7	45.8	16.5	$3.2\,$
SGDL23	H48 <sup>3</sup>	Cattle	scapula	4.7%	$-12.6$	6.7	42.7	15.4	$3.2\,$
SGDL24	${\rm F}10$	Cattle	Phalange	11.4%	$-14.9$	$6.2\,$	47.0	17.2	$3.2\,$
SGDL25	F1	Cattle	L. mandible	7.3%	$-15.6$	7.1	46.6	17.0	$3.2\,$
SGDL26	H <sub>22</sub>	Cattle	R. mandible	1.6%	$-13.6$	6.7	44.2	14.8	$3.5\,$
$\operatorname{SGDL27}$	H40 <sub>①</sub>	Cattle	R. ulna	4.7%	$-16.6$	5.7	42.6	15.2	$3.3\,$
SGDL28	H48 <sup>3</sup>	Sheep/goat	L. metacarpus	6.6%	$-15.7$	6.3	46.5	17.0	$3.2\,$
SGDL29	${\rm F}10$	Sheep/goat	scapula	12.2%	$-17.2$	$4.2\,$	46.4	16.9	$3.2\,$
SGDL30	${\rm F}10$	Sheep/goat	R. radius	16.1%	$-14.8$	$7.0\,$	39.6	14.4	$3.2\,$
SGDL31	F10	Sheep/goat	metacarpus	5.5%	$-16.0$	6.8	43.9	16.0	$3.2\,$
SGDL32	F1	Sheep/goat	L. mandible	7.8%	$-15.5$	$7.0\,$	47.6	17.1	$3.2\,$
SGDL33	${\rm F}1$	Sheep/goat	L. mandible	7.7%	$-15.3$	6.8	47.1	17.0	$3.2\,$
SGDL34	H22	Sheep/goat	L. mandible	6.6%	$-16.1$	6.7	45.4	16.2	$3.3\,$
SGDL35	H <sub>22</sub>	Sheep/goat	L. mandible	8.5%	$-16.0$	7.3	47.3	17.2	$3.2\,$
SGDL36	H2@	Sheep/goat	R. tibia	10.3%	$-15.1$	6.9	47.3	17.2	$3.2\,$
SGDL37	H2@	Sheep/goat	R. humerus	6.8%	$-17.6$	$3.6$	47.7	17.2	3.2
SGDL38	H40 <sub>①</sub>	Sheep/goat	L. mandible	3.1%	$-16.4$	6.7	45.2	16.3	$3.2\,$
SGDL39	$\mathbf{M8}$	Pig	Rib	4.7%	$-7.7$	$7.4\,$	43.3	15.6	$3.2\,$
SGDL40	M14	Human	Rib	6.7%	$-7.7$	$8.9\,$	43.3	16.1	3.1
SGDL41	M15	Human	Phalange	5.6%	$-7.7$	$8.8\,$	42.7	16.0	3.1
SGDL42	M16	Human	Skull	4.2%	$-7.5$	11.8	43.5	16.1	$3.2\,$
SGDL43	M17	Human	Fibula	10.3%	$-13.3$	8.4	39.3	14.4	$3.2\,$
SGDL44	M18	Human	Rib	3.2%	$-6.9$	7.5	43.2	16.0	3.1
SGDL45	M19	Human	Phalange	5.2%	$-6.7$	8.2	42.7	15.8	3.2
SGDL46	M20	Human	Phalange	4.5%	$-7.4$	$9.0\,$	42.6	15.9	3.1
SGDL47	M21	Human	Phalange	2.6%	$-10.5$	10.4	43.8	16.3	3.1
SGDL48	M22	Human	Phalange	3.1%	$-7.4$	9.6	44.2	16.5	3.1
SGDL49	M23	Human	Phalange	6.4%	$-8.0$	8.4	44.8	16.6	3.1
SGDL50	M24	Human	Phalange	10.2%	$-14.6$	$7.0\,$	41.4	15.4	3.1
SGDL51	M25	Human	Rib	3.0%	$-8.7$	8.4	41.7	15.6	3.1
SGDL52	M27	Human	Phalange	5.9%	$-7.8$	6.8	44.0	16.4	3.1
SGDL53	M28	Human	Phalange	$6.0\%$	$-7.2$	$9.2\,$	44.1	16.4	3.1

<span id="page-4-0"></span>

**Figure <sup>2</sup>** *<sup>δ</sup>* 13 <sup>C</sup> and *<sup>δ</sup>* <sup>15</sup><sup>N</sup> values of human and animal bone collagen from the Shengedaliang site.

and were the most important domestic animals in the agriculture communities of China including northern Shaanxi (Yuan and Flad, 2008; [Cucchi](#page-7-0) et al., 2011). Previous studies documented that <sup>p</sup>igs at Wuzhuanggeliang were foddered primarily with  $C_4$  plant-based food ([Guan](#page-7-0) et al., 2008). In this study, we found similar situation, namely <sup>p</sup>ig raising at Wuzhuanggeliang and Shengedaliang displayed <sup>a</sup> close relationship to millet farming. In fact, this was very common at Neolithic agriculture communities in Central Plains, for example at the sites of Kangji, Xipo ([Pechenkina](#page-7-0) et al., [2005\)](#page-7-0), Dongying (Chen et al., [2016b\)](#page-7-0), Taosi [\(Zhang](#page-8-0) et al., [2007](#page-8-0); Chen et al., [2012\)](#page-7-0), Xinzhai (Wu et al., [2007](#page-8-0)), and Wadian (Chen et al., [2016a](#page-7-0)). We again demonstrate that millet farming was essential for <sup>p</sup>ig raising at Shengedaliang by providing millet byproducts such as straw and chaff.

Previous studies show that, the two ruminants, cattle and sheep/goats were brought into Yellow River catchment during Longshan period ([Yuan](#page-8-0) et al., 2007). This means that people had began to raise domestic herbivores in grassland in order to improve carrying capacity and land use efficiency, especially in agricultural margin area. The emergence of grassland animal husbandry was essential as the precondition for rising of pastoralism several centuries later in northern China. Isotopic studies at the sites of Dongying ([Chen](#page-7-0) et al., [2016b\)](#page-7-0), Taosi [\(Zhang](#page-8-0) et al., 2007; Chen et al., [2012](#page-7-0)), Wadian (Chen et al., [2016a](#page-7-0)) show that cattle mainly relied on  $C_4$  plants while sheep/goats foraged on a mix of  $C_3$  and  $C_4$ <sup>p</sup>lants. This situation suggests that the Longshan agriculture communities preferred foddering cattle with millet, possibly millet byproducts, but grazed the sheep/goats in uncultivated areas. It seems that this pattern continued until at least the early Bronze Age based on the paleodiet investigation at Er-

litou (Si et al., [2014](#page-7-0)) and Zhangdeng sites (Hou et al., [2013](#page-7-0)). The two cattle with higher  $\delta^{13}$ C (SGDL23, SGDL26) clearly displayed their reliance on millet probably under the condition of shelter feeding, while the others had negative  $\delta$ <sup>13</sup>C values and lower than those at Dongying, Taosi, Wadian, and other Longshan period agriculture communities in North China, clearly indicating the predominance of  $C_3$  plants in their diet. We thus propose that <sup>a</sup> flexible cattle husbandry regime was occurring and that most of the cattle were grazed at the Shengedaliang site. This might correlate with abundant foraging resources in northern Shaanxi. In fact, as recorded in historical document *Shiji*, Dabaodang town belonged to Shangjun County during West Han dynasty, which was one of the most famous bases for raising war-horses.

#### **3.2 Human diet**

The humans  $(n=28)$  at the Shengedaliang site showed a large variation in  $\delta^{13}C$  (from  $-14.6\%$  to  $-6.7\%$ ) values with a mean of  $-8.5\pm1.8\%$  [\(Table](#page-3-0) 1, Figure 2), indicating that most people consumed millet-based  $C_4$  foods. This situation was very similar to those of other Yangshao and Longshan periods sites in Wei River Valley which were characterized by <sup>a</sup> millet dominated diet, for example the sites of Jiangzhai ( $\delta^{13}C = -9.9 \pm 1.1\%$ ,  $\delta^{15}N = 8.6 \pm 0.5\%$ ,  $n=21$ ), Yuhuazhai (δ<sup>13</sup>C=–8.6±1.4‰, δ<sup>15</sup>N=9.3±0.7‰ *n*=25) ([Zhang](#page-8-0) et al., [2010](#page-8-0); Guo et al., [2011a\)](#page-7-0), Dongying (δ<sup>13</sup>C=–8.0±1.3‰, *δ* <sup>15</sup>N=9.0±0.3‰, *n=*5) (Chen et al., [2016b](#page-7-0)). Meanwhile, two individuals, SGDL43 and SGDL50, clearly ate more  $C_3$ plant-based nutrients than others. Since  $C_3$  cereals such as rice and wheat were rarely discovered from Neolithic sites before and around 4,000 yr BP in northern Shaanxi, the two

outliers might be foreigners. After an isotopic comparison with contemporaneous sites of neighboring areas, we found the isotopic signatures of SGDL43 and SGDL50 resembled individuals found at Mogou site  $(\delta^{13}C = -13.9 \pm 1.6\%)$ , *δ* <sup>15</sup>N=10.2±1.2‰, *n=*37) (Ma et al., [2016](#page-7-0)) in the upper Yellow River Valley region or people cultivating both rice and millet at the Qinglongquan site  $(\delta^{13}C=-14.5\pm1.4\%$ , *δ* <sup>15</sup>N=8.9±1.2‰, *n=*25) (Guo et al., [2011b\)](#page-7-0). However, stable strontium and sulfur isotope ratio analysis is necessary to better understand if these individuals were migrants.

The mean  $\delta^{15}$ N value of the humans was  $8.8 \pm 1.4\%$ , and this is slightly higher than the results of the <sup>p</sup>igs (7.7±0.8‰, *n=*7). This suggests that the humans were not consuming <sup>a</sup> large amount of animal protein. According to the large range of  $\delta$ <sup>15</sup>N values (6.2–11.8‰), it is likely that people had different opportunities in their ability to consume animal products. For instance,  $\delta^{15}N$  values of the two individuals buried in tomb M2 (6.3‰, 6.5‰) were even lower than the <sup>p</sup>igs and dogs and nearly identical to the herbivores, which indicates that they were having <sup>a</sup> vegetarian diet. In contrast, in the individual buried in tomb M16 had a very high  $\delta^{15}$ N value (11.8‰) revealing <sup>a</sup> diet with high amount of animal resources.

In order to explore how dietary habits correlated with social status at the Shengedaliang site, the isotopic values of tombs with different funerary treatments were compared, for exam<sup>p</sup>le: grave goods and tomb size. In terms of grave goods, three categories could be classified: (1) with <sup>a</sup> sacrificed female and <sup>a</sup> group of potteries, (2) with <sup>a</sup> <sup>p</sup>ig and some stone tools, and (3) with no graves goods. Tomb M7 and M8 were categorized into (1) and (2), respectively, while the rest belong to (3). The  $\delta^{13}$ C values of the M7 male and M8 were –8.7‰ and –8.1‰, respectively, and very close to the mean of the Shengedaliang population  $(-8.5 \pm 1.8\%)$ , while both their  $\delta$ <sup>15</sup>N values were 9.7‰, only slightly higher than population  $(8.8\pm1.4\%)$ . Moreover, there was little difference between the isotopic values of the male and the sacrificed female in tomb M7. In terms of tomb size, the excavators divided three categories according to tomb width: (1) no less than <sup>2</sup> m, the only  $M7$ , (2) 1–2 m, M1 and M2, and (3) less than 1 m, the others. When these tombs were compared, the isotopic values of M7, M1 and M2 showed no clear distinctions from the others. In general, no clearly correlation was isotopically detectable between diet habits and social status accordingly.

# **3.3 Subsistence during the late Neolithic and early Bronze Ages in north Shaanxi**

Here, subsistent activities were shaped by the local ecological conditions and would change along with the progress of food producing techniques during the Neolithic. Published research shows that millet farming was introduced during early Yangshao period if not earlier, and <sup>a</sup> remarkable transformation in human adaptations occurred in the following several hundred years, notably from hunting and gathering to farming (Yan, [1991](#page-8-0); Han, [2008](#page-7-0)). Consequently during the Longshan period, grassland animal husbandry began to be an important food-producing way regarding the emergence of domestic ruminants, e.g. cattle and sheep/goats (Ma, [2009](#page-7-0); [Wang](#page-8-0) et al., 2014), pointing to diversified subsistent economy consisting of farming, grassland animal husbandry, and hunting and gathering.

Great quantities of stone tools associated agricultural activities from the sites of Zhaimao (Lü, [2002](#page-7-0)), Xinhua (Wang <sup>H</sup> et al., 2005), Shimao (Dai, [1977](#page-7-0)), Huoshiliang (Hu et al., [2008](#page-7-0)) and Zhukaikou (Inner [Mongolian](#page-7-0) Institute of Cultural Relics and [Archaeology](#page-7-0) and Ordos Museum, 2000), e.g. knives, shovels, etc., indicate that farming was of grea<sup>t</sup> importance around 4,000 yr BP. In order to explore the contributions of millet cultivation, grassland animal husbandry, and hunting and gathering to subsistent economy patterns in northern Shaanxi, published isotope data at the regional levels were reviewed for further discussion [\(Figure](#page-6-0) 3).

According to previous published isotopic data, the  $\delta^{13}$ C values of human bones from sites including: Muzhuzhuliang ([Chen](#page-7-0) et al., 2015), Xinhua, Shimao in Shenmu, Zhukaikou in Yijinhuoluo, Dakou in Zhunger [\(Atahan](#page-7-0) et al., 2014), as well as Shengedaliang in our study, were consistent with those of contemporaneous sites in the middle Yellow River catchments, such as Dongying (–8.0±1.3‰, *n=*5) [\(Chen](#page-7-0) et al., [2016b](#page-7-0)), Taosi (–6.6±1.0‰, *n=*7) ([Zhang](#page-8-0) et al., 2007), Xinzhai (–9.6±1.4‰, *n=*8) (Wu et al., [2007](#page-8-0)). This indicates that northern Shaanxi experienced comparable subsistent practices with the millet farmers in the middle Yellow River catchments around 4,000 yr BP, and millets and millet-foddered domesticates formed the major par<sup>t</sup> of human dietary intake.

Farming could provide much more food resources and is more productive than grassland animal husbandry under good climatic conditions [\(Zhang,](#page-8-0) 2011). The study of sediments and soils shows there were several soil forming intervals after the last Glacial including <sup>a</sup> period from 4,400–3,500 yr BP, which was suitable for millet farming in northern Shaanxi (Gao et al., [1993](#page-7-0)). In fact, <sup>a</sup> pollen study at the Xinhua site conducted by Wang <sup>H</sup> et al. (2005) showed <sup>a</sup> very high *Chenopodiaceae/Artemisia* ratio in samples dating to around 4,000 yr BP indicative of intensified farming activities under good environment and vegetation conditions.

From the above we can see that humans at Shengedaliang, probably with other late Longshan and early Xia period sites in northern Shaanxi Province, adopted <sup>a</sup> diversified subsistence strategy and acquired nutrients from millet farming, grassland animal husbandry, as well as hunting and gathering. In general, millet farming was of most importance, not only for human consumption but for raising <sup>p</sup>igs which in turn provided people with meat, while grassland animal husbandry, typically sheep/goats and cattle raising, was relatively unim-

<span id="page-6-0"></span>

**Figure**  $3 \delta^{13}$ C and  $\delta^{15}$ N values of human and animal bone collagen of archaeological sites of Longshan and early Xia periods in northern Shaanxi Province and neighboring areas.

portant and simply an accessory subsistent activity producing supplementary animal products. Inherent in millet farming is to meet human's demand for nutrients. Intensive agriculture provided relatively adequate food that allowed population growth, which was documented by densely distributed settlements in northern Shaanxi (State [Administration](#page-7-0) of Cultural [Heritage,](#page-7-0) 1998). This efficient food production was <sup>a</sup> precondition for redistribution of surplus wealth and labor, which allowed increasing social complexity and division of labor. Under this situation, people could devote more time and energy for public facility construction, trading and exchanges, and even military and political expansion. These were essential prerequisites for the sudden acceleration of the formation of early civilization.

From the Longshan period onwards, northern Shaanxi Province and neighboring areas shared the same material tradition in terms of pottery, stone tools, settlement patterns, and even funerary treatments. Archaeologists propose that the increasing unanimity in archaeological remains implies intimate contacts between populations although they might belong to different alliances. The similarity in foodways was crucial for the formation, maintenance and stability of cultural identity among populations. Millet farming and associated production relationship of intra- and inter-groups in <sup>a</sup> certain extent was likely <sup>a</sup> reflection of cultural identity that maintained social relationship in this region. Therefore, cultural habits might encourage people here to continue their food producing ways, primary millet farming, despite of the emergence of grassland animal husbandry before <sup>a</sup> severe deterioration in climate. After 3,800 yr BP or later, the climate was increasingly worse. The spreading of Mu Us desert indicates <sup>a</sup> termination of agriculture production, and settled villages and regional centers thus vanished, so did agriculture communities (Wang <sup>H</sup> et al., 2005). Northern Shaanxi would not experience frequent human activities once again until the rise of the nomadic economy in East Zhou period (Dai and Sun, [1983](#page-7-0)).

## **4. Conclusion**

In order to explore the reasons for the sudden increase of the social evolution in northern Shaanxi around 4,000 yr BP, human and animal bones from Shengedaliang were sampled and isotopically analyzed in this study. After reconstruction of human diet and animal raising practices at this site, we reviewed the published data and discussed the subsistence economy of this period. As noted, foxtail and broomcorn millets not only were staple foods for humans at Shengedaliang, but also used for fodder for <sup>p</sup>ig raising, possibly in terms of millet chaff and straws. Contrarily, sheep/goats and most cattle relied on wild <sup>p</sup>lants, which indicate that human had already engaged in grassland animal husbandry despite its limited contribution to human diet. After comparison with published data including stable isotopes, zooarchaeology, archaeobotany, etc., we made the conclusion that people in northern Shaanxi mainly depended on millet agriculture although <sup>a</sup> diversified subsistence was adopted to include millet farming, grassland animal husbandry, as well as hunting and gathering. The intensive millet farming produced adequate food surplus for sustaining population growth, and wealth accumulation, which allowed the culture to flourish and increase in complexity in northern Shaanxi and neighboring areas and more research should be directed to these topics in the future.

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